

# Curve complexes and the DM-compactification of moduli spaces

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## Notation

$\Sigma_{g,n}$ : an oriented closed surface of genus  $g \geq 2$   
with  $n$ -points deleted

$\Gamma_{g,n} = \Gamma(\Sigma_{g,n})$  : mapping class group of  $\Sigma_{g,n}$

$T_{g,n} = T(\Sigma_{g,n})$  : Teichmüller space of  $\Sigma_{g,n}$

$\dim_{\mathbb{C}} T_{g,n} = 3g - 3 + n$  complex analytic space

$\Gamma_{g,n}$  acts on  $T_{g,n}$  complex analytically,  
and properly discontinuously.

$M_{g,n} = T_{g,n}/\Gamma_{g,n}$  : moduli space

$\overline{M}_{g,n}$  : compactification of  $M_{g,n}$

(Deligne-Mumford 1969)

## The purpose of this talk is

to construct a “natural” orbifold structure on the DM-compactification  $\overline{M}_{g,n}$  of  $M_{g,n}$ , making use of N. V. Ivanov’s “scissored Teichmüller space”  $P_{g,n}^\varepsilon$ .

This construction clarifies the role of

W. J. Harvey’s curve complex  $\mathcal{C}_{g,n}$

in the compactification of  $M_{g,n}$ .

(Ivanov [’87] introduced  $P_{g,n}^\varepsilon$  in his cohomological study of the mapping class group  $\Gamma_{g,n}$ .)

## Basic definitions

We consider a pair  $(S, w)$  of a **Riemann surface**  $S$  and an orientation preserving **homeomorphism**

$$w : S \rightarrow \Sigma_{g,n}.$$

Two such pairs  $(S, w)$  and  $(S', w')$  are **equivalent**

$(S, w) \sim (S', w')$  iff  $\exists$  a biholomorphic map

$t : S \rightarrow S'$  s.t. the following diagram homotopically commutes:

$$\begin{array}{ccc} S & \xrightarrow{w} & \Sigma_{g,n} \\ t \downarrow & & \downarrow \text{id.} \\ S' & \xrightarrow{w'} & \Sigma_{g,n} \end{array}$$

## Basic definitions 2

### Teichmüller space

$T_{g,n}$  is defined by

$$T_{g,n} \stackrel{\text{def.}}{=} \{(S, w)\} / \sim .$$

The mapping class group  $\Gamma_{g,n}$  is defined by

$$\Gamma_{g,n} \stackrel{\text{def.}}{=} \{\text{ori.pres.homeos} : \Sigma_{g,n} \rightarrow \Sigma_{g,n}\} / \text{isotopy} .$$

The action of  $\Gamma_{g,n}$  on  $T_{g,n}$  is defined by

$$[f]_* [S, w] \stackrel{\text{def.}}{=} [S, f \circ w],$$

where  $[f] \in \Gamma_{g,n}$  and  $[S, w] \in T_{g,n}$ .

## Length function $L : T_{g,n} \rightarrow \mathbb{R}$

$T_{g,n}$  is a complex analytic space (Weil, Ahlfors, 1960) and is a bounded domain (Bers, 1961) of  $\dim_{\mathbb{C}} T_{g,n} = 3g - 3 + n$ .

Let  $C$  be an **essential** simple closed curve on  $\Sigma_{g,n}$  i.e.  $C$  is not homotopic to a point nor to a puncture.

For any point  $p = [S, w] \in T_{g,n}$ , let  $l_p(C)$  be the length of the simple closed geodesic  $\hat{C}$  on  $S$  homotopic to  $w^{-1}(C)$ .

Define  $L : T_{g,n} \rightarrow \mathbb{R}$  by

$$L(p) \stackrel{\text{def.}}{=} \min_{C \subset \Sigma_{g,n}} l_p(C).$$

# The scissored Teichmüller space $P_{g,n}^\varepsilon$

The length function

$$L : T_{g,n} \rightarrow \mathbb{R}$$

is a piecewise real analytic function.

(Fenchel-Nielsen, Abikoff['80])

Let  $\varepsilon > 0$  be a sufficiently small number. Then we define  $P_{g,n}^\varepsilon$  as follows:

$$P_{g,n}^\varepsilon \stackrel{\text{def.}}{=} \{p \in T_{g,n} \mid L(p) \geq \varepsilon\}.$$

$P_{g,n}^\varepsilon$  is a real analytic manifold with corners.



## To what extent should $\varepsilon$ be small?

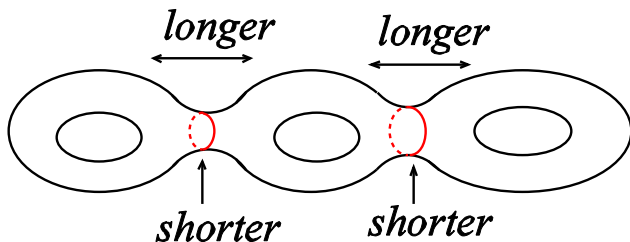
Theorem (L. Keen[1973], W. Abikoff[1980])

**There is an universal constant  $M$  such that two distinct simple closed geodesics on  $S$  are disjoint, if their lengths are  $< M$ .**

The number  $\varepsilon$  should be taken as  $\varepsilon < M$ .

## Explanation of the Theorem

If the red curves become shorter, transverse curves become longer.



## Facets of $P_{g,n}^\varepsilon$ (1)

Suppose a point  $p_0 = [S_0, w_0]$  is on the boundary  $\partial P_{g,n}^\varepsilon$ , then we have

$$L(p_0) = \varepsilon.$$

Then there exist a finite number of simple closed geodesics

$$\hat{C}_1, \dots, \hat{C}_k$$

on  $(S_0, w_0)$  such that  $l_{p_0}(\hat{C}_i) = \varepsilon, i = 1, \dots, k$ . They are **disjoint** (because  $\varepsilon < M$ ), and

$$k \leq 3g - 3 + n.$$

## Facets of $P_{g,n}^\varepsilon$ (2)

Let  $\sigma$  denote the set of pairwise disjoint simple closed curves on  $\Sigma_{g,n}$ :

$$\sigma = \{C_1, \dots, C_k\}.$$

Define the **facet**  $F^\varepsilon(\sigma)$  corresponding to  $\sigma$  by

$$F^\varepsilon(\sigma) := \{p \in P_{g,n}^\varepsilon \mid l_p(\hat{C}_i) = \varepsilon, i = 1, \dots, k\}$$

For  $\forall p = [S, w]$  in  $F(\sigma)$ , we assume that other simple closed geodesics on  $S$  have length  $> \varepsilon$ .

(The point  $p_0 = [S_0, w_0]$  in the previous slide is on this facet.)

## Facets of $P_{g,n}^\varepsilon$ (3)

A facet  $F^\varepsilon(\sigma)$  is a real analytic manifold homeomorphic to

$$\mathbb{R}^{2(3g-3+n)-k},$$

where  $k = \#\sigma$ .

Facets of  $P_{g,n}^\varepsilon$  are analogous to open faces of a finite polyhedron  $P$ .

**Incidence relation:** If  $\sigma \subset \sigma'$ , then we have

$$\overline{F^\varepsilon(\sigma)} \supset F^\varepsilon(\sigma').$$

A facet is itself an infinite polyhedron.

## Abelian subgroup $\Gamma(\sigma)$

Let  $\sigma$  denote  $\{C_1, \dots, C_k\}$  as before. Let  $\tau(C_i)$  be the right handed (negative) **Dehn twist about  $C_i$** , and define a subgroup  $\Gamma(\sigma)$  of  $\Gamma_{g,n}$  to be the subgroup generated by

$$\tau(C_i), \quad i = 1, \dots, k.$$

Since  $\sigma$  is a disjoint union of s.c.c.'s, the group  $\Gamma(\sigma)$  is abelian. More precisely,  $\Gamma(\sigma)$  is a **free abelian group** of rank  $k$

## Action of $\Gamma(\sigma)$ on $F^\varepsilon(\sigma)$

Since the action of  $\Gamma_{g,n}$  on  $T_{g,n}$  preserves the Poincaré metric on Riemann surfaces (hence preserves the length function  $L$ ), and since

$$\tau(C_i)(C_j) = C_j, \quad i, j = 1, \dots, k,$$

the twists  $\tau(C_i), i = 1, \dots, k$  preserve  $F^\varepsilon(\sigma)$ .

This action of  $\Gamma(\sigma)$  on  $F^\varepsilon(\sigma)$  is **real analytic** and **properly discontinuous**.

## Complex of curves $\mathcal{C}_{g,n}$

W. J. Harvey (1977) introduced an abstract simplicial complex called the **complex of curves**  $\mathcal{C}_{g,n} = \mathcal{C}(\Sigma_{g,n})$ :

Definition(Complex of curves)

**Vertices of  $\mathcal{C}_{g,n}$** : isotopy classes of essential simple closed curves on  $\Sigma_{g,n}$ .

**A simplex  $\sigma$  of  $\mathcal{C}_{g,n}$** : a set of vertices represented by a disjoint union of simple closed curves.

The facets  $F^\epsilon(\sigma)$  are in 1:1 correspondence with the simplices  $\sigma$  of  $\mathcal{C}_{g,n}$ .



## Barycentric subdivision of $\mathcal{C}_{g,n}$

### Proposition 1

The totality of the facets  $\{F^\varepsilon(\sigma)\}_{\sigma \in \mathcal{C}}$  makes a complex (**facet complex**) analogous to a simplicial complex. The flag complex associated to the facet complex is isomorphic to the **barycentric subdivision** of the complex of curves  $\mathcal{C}(\Sigma_{g,n})$ .

**Proof:** A flag in the facet complex  $\overline{F^\varepsilon(\sigma)} \supset \overline{F^\varepsilon(\sigma')} \supset \overline{F^\varepsilon(\sigma')}$  corresponds to a flag in the complex of curves  $\mathcal{C}$ ,  $\sigma \subset \sigma' \subset \sigma''$ . The latter corresponds to a simplex of the barycentric subdivision of  $\mathcal{C}(\Sigma_{g,n})$ .  $\square$

## Automorphisms of $\mathcal{C}_{g,n}$

We need the following theorem:

Theorem (Ivanov['97], Korkmaz['99], Luo['00])

With the exceptional cases {of spheres with  $\leq 4$  punctures, tori with  $\leq 2$  punctures and a closed surface of genus 2}, the following holds:

$$\text{Aut}(\mathcal{C}_{g,n}) = \Gamma_{g,n}^*$$

where  $\Gamma_{g,n}^*$  stands for the **extended mapping class group** (containing orientation reversing homeomorphisms).

## Automorphisms of $P_{g,n}^\varepsilon$

The scissored Teichmüller space  $P_{g,n}^\varepsilon$  together with the Teichmüller metric becomes a metric (infinite) polyhedron. Then the following proposition is a corollary to the above theorem.

### Proposition 2

With the same exceptions as above, we have the following:

$$\text{Isom}_+(P_{g,n}^\varepsilon) = \Gamma_{g,n}.$$

## Proof of Proposition 2

**Proof:** An isomorphism of  $P_{g,n}^\varepsilon$  induces on  $\partial P_{g,n}^\varepsilon$  an automorphism of the facet complex, thus that of the barycentric subdivision of  $\mathcal{C}_{g,n}$ , and finally an automorphism of  $\mathcal{C}_{g,n}$ . The automorphism of  $\mathcal{C}_{g,n}$  in turn corresponds (by Ivanov-Korkmaz-Luo's theorem) to an action of the mapping class group  $\Gamma_{g,n}$ , hence an (orientation preserving) isometry of  $T_{g,n}$ .  $\square$

Essentially the same arguments are found in A. Papadopoulos [’08] and K. Ohshika [’11].

## The subgroup of $\Gamma_{g,n}$ preserving $F^\varepsilon(\sigma)$

### Proposition 3.

**The subgroup of  $\Gamma_{g,n}$  which preserves a facet  $F^\varepsilon(\sigma)$  is precisely  $N\Gamma(\sigma)$ , the normalizer of  $\Gamma(\sigma)$  in  $\Gamma_{g,n}$ .**

**Proof:** If a mapping class  $[f] \in \Gamma_{g,n}$  preserves  $F^\varepsilon(\sigma)$ , then  $[f]$  induces on  $\Sigma_{g,n}$  a permutation of  $\sigma = \{C_1, \dots, C_k\}$ , and *vice versa*. Such mapping classes make the normalizer  $N\Gamma(\sigma)$  of  $\Gamma(\sigma)$ .  $\square$

## “Fringe” $FR^\varepsilon(\sigma)$ bounded by $F^\varepsilon(\sigma)$

The **fringe**  $FR^\varepsilon(\sigma)$  is defined by

$$FR^\varepsilon(\sigma) = \bigcup_{0 < \delta < \varepsilon} F^\delta(\sigma).$$

Then we have

Cor. to Proposition 3

The subgroup of  $\Gamma_{g,n}$  which preserves the fringe  $FR^\varepsilon(\sigma)$  is the normalizer  $N\Gamma(\sigma)$ . The action of  $N\Gamma(\sigma)$  on  $FR^\varepsilon(\sigma)$  is properly discontinuous.

**Proof:**  $FR^\varepsilon(\sigma)$  is foliated by the facets  $F^\delta(\sigma)$ , and Corollary holds for each leaf  $F^\delta(\sigma)$ .  $\square$

## Augmented fringe $\overline{FR^\varepsilon}(\sigma)$

Define the augmented fringe as follows

Definition: Augmented fringe

$$\overline{FR^\varepsilon}(\sigma) = \bigcup_{0 \leq \delta < \varepsilon} F^\delta(\sigma) \quad (= FR^\varepsilon \cup F^0(\sigma)).$$

Then  $N\Gamma(\sigma)$  acts on  $\overline{FR^\varepsilon}(\sigma)$  continuously, but not properly discontinuously. (The action of  $\Gamma(\sigma)$  fixes the added ideal boundary  $F^0(\sigma)$ .)

## Augmented Teichmüller space $\overline{T}_{g,n}$

The ideal boundary  $F^0(\sigma)$  parametrizes the nodal surfaces obtained by pinching the curves in  $\sigma$  to points.

Abikoff [’77] attached to  $T_{g,n}$  all ideal boundaries, and considered the augmented Teichmüller space

$$\overline{T}_{g,n} = T_{g,n} \cup \bigcup_{\sigma \in \mathcal{C}} F^0(\sigma).$$

Yamada [04] identified  $\overline{T}_{g,n}$  with the Weil-Petersson completion of  $T_{g,n}$ , and proved the geodesic convexity of the ideal boundaries  $F^0(\sigma)$ .



Well known fact

The quotient space of  $\overline{T}_{g,n}$  under the action of  $\Gamma_{g,n}$  is the compactified moduli space  $\overline{M}_{g,n}$ .

Note that the union of the augmented fringes  $\bigcup_{\sigma \in \mathcal{C}} \overline{FR}^\varepsilon(\sigma)$  gives an open neighborhood of singular divisors when divided out by the action of  $\Gamma_{g,n}$ .

## A defect of the fringes

To analyse the orbifold structure of  $\overline{M}_{g,n}$ , the fringes  $\overline{FR}^\varepsilon(\sigma)$  are inadequate, because they are **pairwise disjoint**:

$$\overline{FR}^\varepsilon(\sigma) \cap \overline{FR}^\varepsilon(\sigma') = \emptyset, \quad \text{if } \sigma \neq \sigma'.$$

(Recall that facets are something like open faces of a polyhedron.)  
Namely the fringes do **not** make an **open covering** of the singular divisors  $\bigcup_{\sigma \in \mathcal{C}} F^0(\sigma)$ .

To remedy the deficiency, we introduce **controlled deformation spaces**.

## Bers' deformation spaces

Let  $\sigma \in \mathcal{C}$  be any simplex  $\sigma = \{C_1, \dots, C_k\} \in \mathcal{C}$ .

Let  $\Sigma_{g,n}(\sigma)$  denote the **surface with nodes** obtained from  $\Sigma_{g,n}$  by pinching each  $C_i$  to a point.

Bers [1974] introduced the **deformation space**  $D(\sigma)$  associated with  $\Sigma_{g,n}(\sigma)$ .

The following theorem is well-known:

Proposition 4

$D(\sigma)$  is homeomorphic to  $(T_{g,n}/\Gamma(\sigma)) \cup F^0(\sigma)$ .

## Complex analytic structure on $D(\sigma)$

Bers announced in 70's that  $D(\sigma)$  is a bounded domain, but without proof.

Recently Hubbard and Koch [2014] gave a proof.

Theorem (Hubbard and Koch)

The deformation space  $D(\sigma)$  has a complex structure.

I am still trying to understand the details of their arguments, but conceptually the proof is clear: The core part  $F^0(\sigma)$  is Teichmüller space of a nodal surface  $\Sigma_{g,n}(\sigma)$ , and the transverse direction corresponds to the “plumbing coordinates” (cf. Masur[1976]).

## The groups $W(\sigma)$

**Define**

$$W(\sigma) = N\Gamma(\sigma)/\Gamma(\sigma).$$

The groups  $W(\sigma)$  are not generally finite groups, but they seem to have certain similarities with the Weyl groups.

**Proposition 5**

- (i)**  $W(\sigma)$  is the mapping class group of the surface with nodes  $\Sigma_{g,n}(\sigma)$ .
- (ii)**  $W(\sigma)$  acts on  $D(\sigma)$  holomorphically and properly discontinuously.

## A Remark

When  $\sigma$  is a maximal simplex of  $\mathcal{C}(\Sigma_{g,n})$ , the group  $W(\sigma)$  is finite. It appeared in Harvey's paper [1979] as the automorphism group of the maximal partition graph  $K_\sigma$ .

In this case, the facet  $F^\varepsilon(\sigma)$  (together with the Weil-Petersson metric) is a Lagrangean submanifold of  $T_{g,n}$ .  $F^\varepsilon(\sigma)$  is homeomorphic to  $\mathbb{R}^{3g-3+n}$  on which  $\Gamma(\sigma)$  acts as translations.

This is exactly the situation of crystallographic groups. Appearance of “Symplectic crystallographic groups” in Teichmüller Theory! (Terminology “symplectic crystallographic groups” is due to S. Yamada.)

## Harvey's paper[1981]

Harvey considers the **cuspidal boundary structure**

$$\partial T_{g,n} = \bigcup_{\sigma \in \mathcal{C}} T_{\sigma} \times \mathbb{R}^{\#(\sigma)}$$

and attached it to the Teichmüller space  $T_{g,n}$ . He claims that  $T_{g,n} \cup \partial T_{g,n}$  is a real analytic manifold with corners on which  $\Gamma_{g,n}$  acts properly discontinuously. (He called this construction “blowing up”).

His explanation is vague. Our polyhedron  $P_{g,n}^{\varepsilon}$  realizes his idea **inside** the Teichmüller space more rigorously.

## Controlled deformation spaces

Let  $M$  be the constant of Keen and Abikoff, and we take an  $\varepsilon$  with  $\varepsilon < M$ ). We insert  $6g - 6 + 2n$  numbers between  $\varepsilon$  and  $M$ :

$$\varepsilon < \varepsilon_1 < \eta_1 < \cdots < \varepsilon_{3g-3+n} < \eta_{3g-3+n} < M.$$

Let  $\hat{\varepsilon}$  denote this sequence.

We define the controlled deformation space  $D_{\hat{\varepsilon}}(\sigma)$  as follows ( $\sigma$  being  $\{C_1, \dots, C_k\}$ )

Definition of  $D_{\hat{\varepsilon}}(\sigma)$

$$D_{\hat{\varepsilon}}(\sigma) = \{p = [S, w] \in D(\sigma) \mid l_p(\hat{C}_i) < \varepsilon_k, \\ i = 1, \dots, k, \text{ and other simple closed} \\ \text{geodesics on } S \text{ are longer than } \eta_k.\}$$



## Why do we need the controlled deformation spaces?

Because  $D(\sigma)$  do not naturally descend to  $\overline{M}_{g,n}$ . But the controlled deformation spaces  $D_{\hat{\varepsilon}}(\sigma)$  do.

### Proposition 5

- (i)  $D_{\hat{\varepsilon}}(\sigma)$  is a bounded domain of  $\mathbb{C}^{3g-3+n}$ .
- (ii) The group  $W(\sigma)$  acts on  $D_{\hat{\varepsilon}}(\sigma)$  complex analytically and properly discontinuously.
- (iii)  $D_{\hat{\varepsilon}}(\sigma)/W(\sigma)$  is an open subset of  $\overline{M}_{g,n}$ .
- (iv)  $D_{\hat{\varepsilon}}(\sigma)/W(\sigma)$  contains the “main part” of the quotient of the augmented fringe  $\overline{FR^{\varepsilon}}(\sigma)/W(\sigma)$
- (v) The family  $\{D_{\hat{\varepsilon}}(\sigma)/W(\sigma)\}_{\sigma \in \mathcal{C}}$  is an open covering of the singular divisors  $\bigcup_{\sigma \in \mathcal{C}} F^0(\sigma)/W(\sigma)$ .

## Main theorem

Summarizing the above, we have

Theorem (M. IRMA lectures, [2012])

The family  $\{(D_{\hat{\varepsilon}}(\sigma), W(\sigma))\}_{\sigma \in \mathcal{C}}$  gives the orbifold charts around the singular divisors in  $\overline{M}_{g,n}$ .

**Remark.** If  $\sigma' = f(\sigma)$  by a mapping class  $f \in \Gamma_{g,n}$ , we consider that  $(D_{\hat{\varepsilon}}(\sigma), W(\sigma))$  and  $(D_{\hat{\varepsilon}}(\sigma'), W(\sigma'))$  are identical charts.

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