Time-interacting fields and actions in positive topological field theories

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§Introduction

Topological Field Theory & Gluing

- ▶ [1] M.F. Atiyah (1988): Topological quantum field theory
- axioms of TFTs for smooth oriented manifolds
- (n + 1)-dim. TFT Z (over comm. ground ring R with 1)
- M^n closed manifold \mapsto state module Z(M) (f.g. over R)
- W^{n+1} compact manifold \mapsto state sum $Z_W \in Z(\partial W)$
- ▶ gluing axiom: $(M^n, N^n, P^n) \rightsquigarrow$ contraction product

$$\langle \cdot, \cdot \rangle \colon \quad Z(M \sqcup N) \otimes_R Z(N \sqcup P) \quad \longrightarrow \quad Z(M \sqcup P)$$

s.t. $Z_{W} = \langle Z_{W'}, Z_{W''} \rangle$ whenever $W : M \xrightarrow{W'} N \xrightarrow{W''} P$

Further axioms: Z(−) is functorial w.r.t. diffeomorphisms, Z_W is diffeomorphism invariant; Z(−M) = Z(M)* dual module; disjoint union Z(M ⊔ N) ≅ Z(M) ⊗_R Z(N), Z_{W ⊔ V} ≅ Z_W ⊗_R Z_V; normalizations Z(∅) = R, Z_∅ = 1 ∈ R, Z_{M×[0,1]} = id_{Z(M)}

Examples (Gluing)

$$\blacktriangleright W^{n+1} \colon M^n \xrightarrow{W'} N^n \xrightarrow{W''} P^n$$

Euler characteristic (n odd):

$$\chi(W) = \chi(W') + \chi(W'')$$

Novikov additivity (compatibly oriented bordisms):

$$\sigma(W) = \sigma(W') + \sigma(W'')$$

Pontrjagin numbers (n = 7, compatibly oriented bordisms, M = P = ∅, H³(N⁷) = H⁴(N⁷) = 0): $p_1^2[W] = p_1^2[W'] + p_1^2[W'']$

 \rightsquigarrow [10] Milnor's invariant $\lambda(N^7)$

A Convenient Setting for Topological Invariants

GOAL:

Exploit concept of TFT as a source of inspiration for constructing powerful (differential) topological invariants of manifolds!

IDEA (M. Banagl [3], 2015):

Find formulation of Atiyah's axioms for TFTs over semirings!

- accept certain deviations from Atiyah's axioms
- obtain positive TFTs as framework for topological invariants
- avoid measure theoretic difficulties in Feynman's path integral

TODAY:

Present features of positive TFT, and a high dimensional example.

- Banagl's work [2, 3, 4]: theory of semirings and semimodules, axioms for positive TFTs, framework of quantization
- our work [12, 16, 17, 18]: time-interacting fields and actions, improving Banagl's example of a positive TFT based on fold maps, computations of aggregate invariant for exotic spheres

§Semi-Algebra

Semirings and Semimodules

Definition

- 1. A semiring is a tuple $S = (S, +, \cdot, 0, 1)$, where
 - ▶ (*S*,+,0) comm. monoid
 - ▶ (*S*, ·, 1) monoid

satisfying a(b + c) = ab + ac, (a + b)c = ac + bc, and $0 \cdot a = a \cdot 0 = 0$.

2. A (left) **semimodule** over the semiring S is a comm. monoid $M = (M, +, 0_M)$ with scalar multiplication $S \times M \rightarrow M$, $(s, m) \mapsto sm$, such that (rs)m = r(sm), r(m + n) = rm + rn, (r + s)m = rm + sm, 1m = m, $r0_M = 0_M = 0m$.

Example

- \blacktriangleright natural numbers $\mathbb{N} = \{0, 1, \dots\}$ form semiring $\left(\mathbb{N}, +, \cdot\right)$
- Boolean semiring $\mathbb{B} = \{0, 1\}$, require 1 + 1 = 1
- semiring of formal power series $\mathbb{B}[\![q]\!]$ is an $\mathbb{N}[\tau]$ -semimodule

Eilenberg-Completeness

[6] S. Eilenberg (1974): Automata, Languages, and Machines

Definition

1. A comm. monoid (M, +, 0) is **complete** if "+" is extended to

$$\sum: \{m_i\}_{i\in I} \longmapsto \sum_{i\in I} m_i \in M$$

satisfying Fubini's law: $I = \bigcup_{j \in J} I_j \implies \sum_{i \in I} m_i = \sum_{j \in J} \sum_{i \in I_j} m_i.$

- 2. A semiring S is called **complete** if (S, +, 0) is complete, and \sum satisfies infinite distributivity.
- An S-semimodule M is called complete if the monoid M is complete, and ∑ satisfies infinite distributivity.

Eilenberg swindle: If S is an Eilenberg-complete ring, then

$$s := 1 + 1 + \cdots = 1 + (1 + \dots) = 1 + s \Rightarrow 0 = 1 \Rightarrow S = 0.$$

Continuous Monoids

- ► (*M*, +, 0) comm. monoid
- ▶ suppose *M* is **idempotent**, i.e., m + m = m for all $m \in M$
- ▶ then, *M* has natural partial order "≤" given by

$$m \le m' \qquad \Leftrightarrow \qquad m+m'=m$$

Definition

An idempotent complete monoid $(M, +, 0, \Sigma)$ is called **continuous** if for all families $(m_i)_{i \in I}$, $m_i \in M$, and for all $c \in M$, $\sum_{i \in F} m_i \leq c$ for all finite $F \subset I$ implies $\sum_{i \in I} m_i \leq c$.

Lemma

Let $(M, +, 0, \Sigma)$ be a continuous, idempotent, complete monoid. Then, for any families $(m_i)_{i \in I}$ and $(n_j)_{j \in J}$ of elements in M for which $\{m_i; i \in I\} = \{n_j; j \in J\}$ as subsets of M, we have

$$\sum_{i\in I}m_i=\sum_{j\in J}n_j.$$

A Completed Tensor Product

- ▶ *M*, *N* continuous idempotent complete comm. monoids
- ► suppose *M*, *N* are complete **<u>bi</u>**semimodules over a semiring *S*

Theorem (Banagl [4], 2016)

There exists a continuous idempotent complete monoid $M \widehat{\otimes}_S N$ which has the structure of a complete S-bisemimodule, and a $S_S S$ -linear bicontinuous map $\widehat{\alpha} \colon M \times N \to M \widehat{\otimes}_S N$ such that the following **universal property** holds. For every continuous idempotent complete monoid P which has the structure of a complete S-bisemimodule, and for every $S_S S$ -linear bicontinuous map $\varphi \colon M \times N \to P$, there exists a unique S-bisemimodule homomorphism $\widehat{\varphi} \colon M \widehat{\otimes}_S N \to P$ such that the following diagram commutes:



§Positive TFTs

Banagl's Axioms for Positive Topological Field Theory

- Q continuous idempotent complete comm. monoid
- Q^c, Q^m complete semirings having additive monoid Q
- $\widehat{\otimes}_c$, $\widehat{\otimes}_m$ tensor products for bisemimodules over Q^c , Q^m
- all manifolds are smooth and unoriented
- define (n + 1)-dim. positive TFT Z (over Q^c, Q^m)
- ► Mⁿ closed manifold → state module Z(M), a continuous idempotent complete two-sided semialgebra over Q^c, Q^m
- W^{n+1} compact manifold \mapsto state sum $Z_W \in Z(\partial W)$
- ▶ gluing axiom: $(M^n, N^n, P^n) \rightsquigarrow$ contraction product

$$\langle \cdot, \cdot \rangle \colon Z(M \sqcup N) \widehat{\otimes}_{c} Z(N \sqcup P) \longrightarrow Z(M \sqcup P),$$

s.t. $Z_{W} = \langle Z_{W'}, Z_{W''} \rangle$ whenever $W \colon M \xrightarrow{W'} N \xrightarrow{W''} P$

Further axioms: Z(−) functorial w.r.t. diffeomorphisms; pseudo-isotopy invariance; Z(M ⊔ N) ≅ Z(M) ⊗_{m/c}Z(N); Z_{W ⊔ V} ≅ Z_W ⊗_mZ_V; diffeomorphism invariance of Z_W

Constructing a Positive TFT from Fields and Actions

- ► system of fields *F*: have sets of fields *F*(*Wⁿ⁺¹*), *F*(*Mⁿ*), axioms (restriction, disjoint union, diffeomorphisms, gluing)
- C small strict monoidal category
- C-valued action functional \mathbb{T} on fields: have maps $\mathbb{T}_W \colon \mathcal{F}(W) \to \mathsf{Mor}(\mathbf{C})$ for all W, require certain axioms
- ► *S* complete semiring, $Q = {Mor(C) \rightarrow S}$ complete monoid
- ▶ Q^c composition (" \circ ") semiring; Q^m monoidal (" \otimes ") semiring
- state modules: Z(Mⁿ) = {𝔅(M) → Q| constraint equation}
- ▶ state sum (partition function) $Z_W \in Z(\partial W)$: $f \in \mathcal{F}(\partial W)$,

$$Z_W(f) = \sum_{F \in \mathcal{F}(W), | F|_{\partial W} = f} \chi_{\mathbb{T}_W(F)} \in Q$$

$$Z_W(f) = \int_{\mathcal{F}(W;f)} e^{iS_W(F)} \,\mathrm{d}\,\mu_W$$

Theorem (Banagl [3], 2015)

The above process of quantization yields a positive TFT Z.

§Time-Interaction

Bordisms and Submanifolds

- ▶ *M*, *N*, *P* closed *n*-mflds.; *W*, *W'*, *W''* compact (n + 1)-mflds.
- equip submanifolds $M, N, P \subset W$ with germs of **framings**



- W is a collared bordism from M to N
- ► *M*, *N*, *P* are (codim. 1) framed submanifolds of *W*
- W' is **collared subbordism** of W; W' collared from P to N
- ▶ given collared bordisms W' from M to N and W' from N to P, have canonical gluing W' ∪_N W" with N as framed codim. 1 submanifold, and W', W" as collared subbordisms
- a diffeomorphism of collared bordisms respects ingoing and outgoing boundaries, and is identity map in collar direction

Time Functions on Collared Bordisms

• time function au on collared bordism W from M to N



- *M*, *N*, *Q* are τ -consistent framed submanifolds of *W*
- W', W'' are τ -consistent collared subbordism of W
- τ restricts to time functions $\tau|_{W'}$, $\tau|_{W''}$ on W', W''
- a diffeomorphism of collared bordisms is time-consistent if it covers an orientation preserving diffeomorphism of intervals

System of Time-Interacting Fields

- have sets of fields $\mathcal{F}(M^n)$, and $\mathcal{F}(W^{n+1})$ for W collared
- for each time function τ on W have subset $\mathcal{F}_{\tau}(W) \subset \mathcal{F}(W)$
- restriction maps:

 $\mathcal{F}(W) \to \mathcal{F}(W_0)$, when W_0 is union of components of W $\mathcal{F}(M) \to \mathcal{F}(M_0)$, when M_0 is union of components of M $\mathcal{F}(W) \to \mathcal{F}(P)$, when P is union of components of ∂W $\mathcal{F}_{\tau}(W) \to \mathcal{F}_{\tau|_{W_0}}(W_0)$, when $W_0 \subset W$ is collared, τ -consistent $\mathcal{F}_{\tau}(W) \to \mathcal{F}(Q)$, when $Q \subset W$ is framed, τ -consistent

- ▶ disjoint union: $\mathcal{F}(W' \sqcup W'') \xrightarrow{\cong} \mathcal{F}(W') \times \mathcal{F}(W'')$; $M \sqcup M'$
- ► au-gluing: $\mathcal{F}_{\tau}(W' \cup_{N} W'') \xrightarrow{\cong} \mathcal{F}_{\tau|_{W'}}(W') \times_{\mathcal{F}(N)} \mathcal{F}_{\tau|_{W''}}(W'')$
- contravariant action of time-consistent diffeomorphisms
- ▶ time interaction: $\exists \mathcal{F}(W) \rightarrow \mathcal{F}_{\tau}(W)$ such that whenever $P \subset \partial W$ is a union of components of ∂W , we have



System of Time-Interacting Action Functionals

- **C** small strict monoidal category
- \mathcal{F} system of time-interacting fields on M^n , W^{n+1} (collared)
- ▶ have functions $\mathbb{T}_W : \mathcal{F}(W) \to \mathsf{Mor}(\mathsf{C})$ for W^{n+1} collared
- disjoint union: $\mathbb{T}_{W' \sqcup W''}(F) = \mathbb{T}_{W'}(F|_{W'}) \otimes \mathbb{T}_{W''}(F|_{W''})$
- τ -gluing: $\mathbb{T}_{W'\cup_N W''}(F) = \mathbb{T}_{W'}(F|_{W'}) \circ \mathbb{T}_{W''}(F|_{W''})$
- ▶ action of time-consistent diffeomorphisms: require that $\mathbb{T}_W(\phi^*F) = \mathbb{T}_{W'}(F)$ holds under the bijection $\phi^* : \mathcal{F}_{\tau'}(W') \to \mathcal{F}_{\tau}(W)$ induced by time-consistent diffeomorphism $\phi : W \to W'$
- time interaction:



Quantization of Time-Interacting Fields and Actions

- \mathcal{F} system of time-interacting fields on M^n , W^{n+1} (collared)
- $C = (C, \otimes, I)$ small strict monoidal category
- $\blacktriangleright~\mathbb{T}$ system of C-valued time-interacting action functionals on $\mathcal F$
- ► S continuous idempotent Eilenberg-complete semiring
- $Q = {Mor(\mathbf{C}) \rightarrow S}$ continuous idempotent complete monoid
- Q^c, Q^m complete semirings, Q underlying additive monoid
- define state module Z(M) of closed manifold Mⁿ by

$$Z(M) = \{z \colon \mathcal{F}(M) o Q \mid z(\phi^* f) = z(f) \text{ for all } \phi \in \mathsf{Diff}_0(M)\}$$

▶ define state sum $Z_W \in Z(\partial W)$ of collared bordism W^{n+1} by

$$Z_W(f) = \sum_{\substack{F \in \mathcal{F}(W), \ F \mid \partial W = f}} \chi_{\mathbb{T}_W(F)} \in Q, \qquad f \in \mathcal{F}(\partial W)$$

Theorem (W. [19], 2018)

The above process of quantization yields a positive TFT Z.

§Application

Step 1: System of Time-Interacting Fields

 $F: W^{n+1} \to \mathbb{R}^2$ is called **fold map** if F looks at every singular point $c \in S(F)$ in suitable coordinates centered at c and F(c) like

$$(t, x_1, \ldots, x_n) \mapsto (t, -x_1^2 - \cdots - x_i^2 + x_{i+1}^2 + \cdots + x_n^2).$$



Step 1: System of Time-Interacting Fields

- define set $\mathcal{F}(M)$ of fields on (connected) closed manifold M^n
- ▶ a field on M is a ({0} × M)-germ represented by a fold map



such that for all $t \neq 0$ we have $S(F) \pitchfork \{t\} \times M$, and $\text{Im} \circ F$ is **injective** on $S(F) \cap \{t\} \times M$

▶ the projection $(0, \varepsilon) \times M \rightarrow (0, \varepsilon)$ restricts to finite covering

 $S(F) \cap (0, \varepsilon) \times M \longrightarrow (0, \varepsilon)$

• components of $S(F) \cap (0, \varepsilon) \times M$ have canonical ordering

Step 1: System of Time-Interacting Fields

- W^{n+1} collared bordism from M to N with time-function au
- define sets $\mathcal{F}(W)$, $\mathcal{F}_{\tau}(W)$ of (τ -interacting) fields on W
- ▶ a field on W is a triple (F, f_M, f_N) , where $f_M \in \mathcal{F}(M)$ and $f_N \in \mathcal{F}(N)$, and $F: W \setminus \partial W \to \mathbb{R}^2$ is a fold map that extends for suitable $\varepsilon > 0$ the fold maps $f_M|_{(0,\varepsilon) \times M}$ and $f_N|_{(-\varepsilon,0) \times N}$
- a field (F, f_M, f_N) on W is τ-interacting if F restricts for every τ-consistent framed submanifold P ⊂ W to a field on P
- in general, a field on W^{n+1} might not be au-interacting for all au
- check field axioms except for time interaction: restriction, disjoint union, τ-gluing, action of time-consistent diffeomorphisms

- categorify Brauer algebras [5] (representation theory of O(n))
- ► symmetric strict monoidal Brauer category (Br, ⊗, [0], b)
- Ob Br: $[0] = \emptyset$, $[1] = \{1\}$, $[2] = \{1, 2\}$, ...
- Hom_{Br}([m], [n]): morphisms look like



- no over- underpass information (compare Turaev [15])
- $[m] \otimes [n] = [m + n]; \otimes$ of morphisms by vertical stacking
- braiding $b = \square \in Hom_{Br}([2], [2])$
- ▶ enrichment [12]: chromatic Brauer category (cBr, ⊗, [0], b)
- use countable number of colors to label components

- ▶ want \mathbb{T}_W : $\mathcal{F}(W) \to \mathsf{Mor}(\mathbf{Br})$ for W^{n+1} collared from M to N
- ▶ associate to field (F, f_M, f_N) on W morphism $[m] \rightarrow [m']$ in **Br**
- identify canonically ordered set $S(F) \cap M$ with [m]
- similarly, $S(F) \cap N \cong [m']$
- morphism $[m] \rightarrow [m']$ is naturally induced by **fold pattern**



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- similarly, $S(F) \cap N \cong [m']$
- morphism $[m] \rightarrow [m']$ is naturally induced by **fold pattern**
- check action axioms except for time interaction: disjoint union, τ-gluing, action of time-consistent diffeomorphisms



- W^{n+1} collared bordism from M to N with time-function τ
- Question (M. Banagl): Are all fold patterns of fields on W also realized by *τ*-interacting fields?
- in other words: do fields and action satisfy the time-interaction axioms?

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Theorem (W. [16, 19], 2018)
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For every field (F, f_M, f_N) on W, there is a τ -interacting field (G, f_M, f_N) on W such that $\mathbb{T}_W(F, f_M, f_N) = \mathbb{T}_W(G, f_M, f_N)$.

Sketch of Proof



modify S(F) by precomposing F with automorphism of W
 achieve that τ⁻¹(t) ↑ S(F) for all t ∈ Reg(τ) \ finite set

Sketch of Proof (continued)



- modify F(S(F)) slightly by perturbing F, not changing S(F)
- achieve that $Im \circ F$ is injective on $S(F) \setminus open$ intervals

Sketch of Proof (continued)



• modify S(F) by precomposing F with automorphism of W

▶ for all $t \in \operatorname{Reg}(\tau) \setminus \text{finite set}$, still have $\tau^{-1}(t) \pitchfork S(F)$, and in addition, $\operatorname{Im} \circ F$ is injective on $\tau^{-1}(t) \cap S(F)$

Step 3: Quantization

- complete additive monoid $Q = {Mor(Br) \rightarrow \mathbb{B}}$
- write $Q = \bigoplus_{m,n \ge 0} Q_{m,n}$, where $Q_{m,n} = \{ \operatorname{Hom}([m], [n]) \to \mathbb{B} \}$
- product "·" of **composition semiring** Q^c induced by

$$: Q_{m,p} \times Q_{p,n} \to Q_{m,n}, \quad (f' \cdot f'')(\gamma) = \sum_{\gamma = \alpha \circ \beta} f'(\alpha) f''(\beta)$$

• product " \times " of **monoidal semiring** Q^m induced by

$$\times : Q_{m,n} \times Q_{r,s} \to Q_{m+r,n+s}, \quad (f' \times f'')(\gamma) = \sum_{\gamma = \alpha \otimes \beta} f'(\alpha) f''(\beta)$$

- ▶ comm. monoid $\{t^k; k \in \mathbb{N}\} \cong (\mathbb{N}, +, 0)$ acts on $Q_{m,n}$ via $(t^k, f) \mapsto (\varphi \mapsto f(\lambda^{\otimes k} \otimes \varphi))$
- monoid semiring $\mathbb{N}[\{t^k; k \in \mathbb{N}\}] = \mathbb{N}[t]$
- ▶ isomorphism of ℕ[t]-semimodules

$$Q_{m,n} \xrightarrow{\cong} \bigoplus_{\substack{\boldsymbol{\varphi} : [m] \to [n] \\ \text{loop-free}}} \mathbb{B}[\![\boldsymbol{q}]\!], \qquad f \mapsto \left(\sum_{k=0}^{\infty} f(\lambda^{\otimes k} \otimes \boldsymbol{\varphi}) \boldsymbol{q}^k\right)_{\boldsymbol{\varphi}}$$

Step 3: Quantization

▶ partition function $Z_W \in Z(\partial W)$ of bordism W^{n+1} :

$$Z_W(f) = \sum_{F \in \mathcal{F}(W;f)} \chi_{\mathbb{T}_W(F)} \quad \in Q_{m(f),m'(f)}, \qquad f \in \mathcal{F}(\partial W)$$

Theorem (Banagl [3], 2015) If $n + 1 \ge 3$, then $Z_W(f)$ is for all $f \in \mathcal{F}(\partial W)$ a rational function

$$Z_W(f) = rac{P_f(q)}{1-q^2}, \qquad P_f(q) \in \mathbb{B}\llbracket q
rbracket \oplus \mathbb{B}\llbracket q
rbracket.$$

Theorem (W. [16], 2017) If $n + 1 \ge 2$, then $Z_W(f)$ is for all $f \in \mathcal{F}(\partial W)$ a rational function

$$Z_W(f) = rac{Q_f(q)}{1-q}, \qquad Q_f(q) \in \mathbb{B}\llbracket q
rbracket \oplus \mathbb{B}\llbracket q
rbracket.$$

For n+1=2, $Q_f(q)$ is known [17]. For n+1>2, deg $Q_f(q)\leq n$.

Step 4: Linearization

- Vect category of real vector spaces and linear maps
- ▶ "⊗" Schauenburg tensor product [14]
- (Vect, \otimes , \mathbb{R} , b) symmetric strict monoidal category
- $C = (C, \otimes, I)$ small strict monoidal category
- ▶ linear representation: strict monoidal functor $Y : \mathbf{C} \to \mathbf{Vect}$
- \blacktriangleright define $\textbf{Y}\text{-linearization}\ \mathbb{L}$ of C-valued action functional \mathbb{T} by

$$\mathbb{L}_W \colon \mathcal{F}(W) \xrightarrow{\mathbb{T}_W} \mathsf{Mor}(\mathbf{C}) \xrightarrow{Y} \mathsf{Mor}(\mathbf{Vect})$$

• \mathbb{L} is **Vect**-valued system of action functionals

Theorem (Müller [11], W. [16], 2015) All (non-trivial) symmetric linear representations of **Br** are faithful.

Theorem (Müller-W. [12], 2019)

There exist symmetric linear representations $Y : \mathbf{cBr} \to \mathbf{Vect}$.

Aggregate Invariant

- ▶ *Mⁿ* oriented closed *n*-manifold
- $\operatorname{Cob}(M^n)$ set of all oriented nullbordisms W^{n+1} of M^n



define aggregate invariant:

$$\mathfrak{A}(M^n) := \sum_{W^{n+1} \in \operatorname{Cob}(M^n)} Z_W \quad \in Z(M)$$

Application: Detecting Exotic Smooth Spheres

▶ *n* ≥ 5

- [9, 10] exotic sphere Σⁿ: closed smooth manifold which is homeomorphic, but not diffeomorphic to Sⁿ
- ► **FACT.** $M^n = S^n$ and $M^n = \Sigma^n$ have Morse number 2:



Theorem (Banagl [3, 4], 2015) $M^{n} \cong S^{n} \iff \mathfrak{A}(M^{n})(\overline{f}_{M}) \notin q \cdot Q_{2,2}$

Proof.

use methods of Saeki [13] based on Stein factorization

Application: Detecting Exotic Kervaire Spheres

- ▶ $n = 4k + 1, k \ge 1$
- Σ_{K}^{n} : unique **Kervaire sphere** of dimension *n* (see [8])
- ▶ Σ_{K}^{n} is exotic whenever $n \notin \{5, 13, 29, 61, 125\}$ (see [7])
- on exotic sphere Σ^n , choose a Morse function



Theorem (W. [16, 18], 2017)

Let $n \ge 237$ and $n \equiv 13 \pmod{16}$. Then, for an exotic sphere Σ^n ,

 $\Sigma^n \cong \Sigma^n_{\mathcal{K}} \iff \mathfrak{A}(\Sigma^n)(\overline{g}_{\Sigma}) \notin q \cdot Q_{2,2}$

Proof – Main Ingredients





Cusp Elimination (Levine) & Cretaion



Two-Index Thm. (Cerf-Hatcher-Wagoner)

Thank you for your attention!

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