

## Metacyclic groups of automorphisms of compact Riemann surfaces

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(Received February 15, 1999)

(Revised July 27, 2000)

**ABSTRACT.** Let  $G_s^0(n) = \langle a, b : a^n = 1 = b^2, b^{-1}ab = a^s \rangle$  and for  $n$  even  $G_s^1(n) = \langle a, b : a^n = 1, a^{n/2} = b^2, b^{-1}ab = a^s \rangle$ . In this paper we compute the minimum genus  $g^* \geq 2$  of a compact Riemann surface that admits a metacyclic group  $G_s^0(n)$  or  $G_s^1(n)$  of biholomorphic homeomorphisms.

### 1. Introduction

It is known that for any compact Riemann surface of genus  $\geq 2$  the group of biholomorphic homeomorphisms, which we call automorphisms, is finite [9, p. 66] and that every finite group can be so realized [2 and 3]. Therefore, the following problem arises: Given a finite group  $G$  what is the minimum genus  $g^* \geq 2$  of a compact Riemann surface that admits  $G$  as a group of automorphisms?

We solve this problem for  $G$  metacyclic group that belongs to two special classes of extensions of a cyclic group by an involution namely the classes

$$G_s^0(n) = \langle a, b : a^n = 1 = b^2, b^{-1}ab = a^s \rangle \quad \text{and}$$

$$G_s^1(n) = \langle a, b : a^n = 1, a^{n/2} = b^2, b^{-1}ab = a^s \rangle \quad \text{with } n \text{ even.}$$

The solution of this problem for these two classes is given in Theorems 3.3 and 4.2 respectively.

The same problem has been solved for cyclic and abelian groups in [4] and [8] respectively. This paper treats the non-abelian case for the first time.

### 2. The Fuchsian group approach

We shall approach the problem using Fuchsian groups. All the details and the proofs of the following well-known facts can be found in [7] and [6], see also [5].

A Fuchsian group  $\Gamma$  is a discrete subgroup of the group of linear fractional transformation  $LF(2, \mathbf{R})$

$$z \rightarrow \frac{az + b}{cz + d}$$

$a, b, c, d \in \mathbf{R}$  and  $ad - bc = 1$ . Such a transformation is an automorphism of the complex upper half plane  $D$ . Since we will be only interested in the case where  $D/\Gamma$  is compact, we shall use the term Fuchsian group to mean a discrete subgroup of  $LF(2, \mathbf{R})$  with a compact orbit space so that a Fuchsian group has a presentation

$$\Gamma = \left\langle a_1, b_1, \dots, a_\gamma, b_\gamma, x_1, \dots, x_r : x_1^{m_1} = \dots = x_r^{m_r} = \prod_{i=1}^{\gamma} [a_i, b_i] \cdot \prod_{i=1}^r x_i = 1 \right\rangle.$$

The positive integers,  $m_1, \dots, m_r$ , are called the periods of the group and  $\gamma$  is called the orbit genus. This group is written as  $\Gamma = (\gamma; m_1, \dots, m_r)$ . If  $r = 0$ , there are no periods and the group is called a Fuchsian surface group.

Every Fuchsian group  $\Gamma = (\gamma; m_1, \dots, m_r)$  has a fundamental region  $F_\Gamma$  in the complex upper half plane with a strictly positive non-Euclidean measure,  $\mu(F_\Gamma)$ , given by  $\mu(F_\Gamma) = 2\pi \left( 2(\gamma - 1) + \sum_{i=1}^r \left( 1 - \frac{1}{m_i} \right) \right)$ .

The following theorem is our starting point for the computation of  $g^*$ .

**THEOREM 2.1.** *A finite group  $G$  is a group of biholomorphic automorphisms of a compact Riemann surface of genus  $g \geq 2$  if and only if  $G$  is isomorphic to a factor group  $\Gamma/K$  where  $\Gamma$  is a Fuchsian group (with a compact orbit space by our convention) and  $K$  is a Fuchsian surface group with orbit genus  $g$ .*

By the above theorem, we have

$$|G| = \frac{\mu(F_K)}{\mu(F_\Gamma)} \quad \text{and} \quad \frac{2(g-1)}{|G|} = 2(\gamma-1) + \sum_{i=1}^r \left( 1 - \frac{1}{m_i} \right)$$

where  $F_K$  and  $F_\Gamma$  are the fundamental regions for  $K$  and  $\Gamma$  respectively, and  $\Gamma = (\gamma; m_1, \dots, m_r)$ .

For any finite group  $G$  and any natural number  $r \geq 0$ , we define

$$V_{\gamma; m_1, \dots, m_r}(G) = \left| \left\{ (A_1, B_1, \dots, A_\gamma, B_\gamma, E_1, \dots, E_r) \in G^{2\gamma+1} : |E_i| = m_i, 1 \leq i \leq r, \right. \right. \\ \left. \left. \prod_{i=1}^{\gamma} [A_i, B_i] \cdot \prod_{i=1}^r E_i = 1 \text{ and } \langle A_1, B_1, \dots, A_\gamma, B_\gamma, E_1, \dots, E_r \rangle = G \right\} \right|$$

where  $|E_i|$  denotes the order of  $E_i$  in  $G$ .

Now  $G$  is isomorphic to  $\Gamma/K$  where  $\Gamma$  and  $K$  are as before if and only if  $V_{\gamma; m_1, \dots, m_r}(G) > 0$  and  $2(\gamma - 1) + \sum_{i=1}^r \left(1 - \frac{1}{m_i}\right) > 0$ .

For any natural number  $n \geq 1$ , let  $d(n) = \{1 \leq k \leq n : k | n\}$  and define for all  $r \in \mathbb{Z}_+$

$$A_r = \left\{ (\gamma; m_1, \dots, m_r) \in \mathbb{Z}_+ \times (d(|G|))^r : V_{\gamma; m_1, \dots, m_r}(G) > 0 \text{ and } 2(\gamma - 1) + \sum_{i=1}^r \left(1 - \frac{1}{m_i}\right) > 0 \right\}.$$

Thus the minimum genus  $g^* \geq 2$  of a compact Riemann surface that admits a group of biholomorphic automorphisms isomorphic to  $G$  is given by

$$\frac{2(g^* - 1)}{|G|} = \min \left( 2(\gamma - 1) + \sum_{i=1}^r \left(1 - \frac{1}{m_i}\right) \right) \tag{2.1}$$

where the minimum is taken over all ordered  $r + 1$  tuples  $(\gamma; m_1, \dots, m_r) \in A_r$  for all  $r \geq 0$ .

The following proposition says that the number  $s$  is an invariant of the isomorphism type of  $G_s^0(n)$  and  $G_s^1(n)$  respectively.

PROPOSITION 2.2 [1, p. 176].

$$G_s^0(n) \cong G_{s'}^0(n) \Leftrightarrow s = s' \quad \text{and} \quad G_s^1(n) \cong G_{s'}^1(n) \Leftrightarrow s = s'.$$

### 3. Determination of $g^*$ for the Class $G_s^0(n)$

We consider  $G_s^0(n) = \langle a, b : a^n = 1 = b^2, b^{-1}ab = a^s \rangle$  subject to  $n \geq 3$ ,  $1 < s < n$ ,  $(s, n) = 1$ ,  $s^2 \equiv 1 \pmod{n}$  and it has order  $2n$ .

Our goal is to solve equation (2.1) for  $g^*$  where  $G = G_s^0(n)$  or, in other words, to compute the right-hand side of (2.1).

Let  $n = p_1^{\alpha_1} \dots p_m^{\alpha_m}$ , where  $2 \leq p_1 < p_2 < \dots < p_m$  and  $\alpha_i > 0$  for  $1 \leq i \leq m$ , be the prime factorization of  $n$ .

First we consider the case  $r = 0$ . We observe that  $2(\gamma - 1) > 0$  forces  $\gamma$  to be  $\geq 2$  and that  $[a, b][b, a] = 1$  and  $G_s^0(n) = \langle a, b \rangle$  so that  $V_2(G_s^0(n)) > 0$ . Therefore

$$\min_{\gamma \in A_0} 2(\gamma - 1) = 2 \tag{i}$$

Second we consider the case  $\gamma = 0$  for all  $r > 0$  with the elements  $E_1, \dots, E_r \in G_s^0(n)$  such that  $E_1 E_2 \dots E_r = 1$  and  $\langle E_1, E_2, \dots, E_r \rangle = G_s^0(n)$ . We compute the strictly positive minimum of the expression

$$-2 + \sum_{i=1}^r \left(1 - \frac{1}{|E_i|}\right) \quad (3.1)$$

with respect to all such choices. Clearly we may assume that  $E_i \neq 1$  for  $1 \leq i \leq r$  and that  $r \geq 3$ . Note also that the number of  $E_i$ 's that lie outside  $\langle a \rangle \subseteq G_s^0(n)$  is always  $\geq 2$  in each choice of  $E_1, \dots, E_r \in G_s^0(n)$  as above.

**Case  $r = 3$**

Here  $s \neq n - 1$  because  $G_{n-1}^0(n) = D_{2n}$  and the expression (3.1) is necessarily negative in this case. In fact, we must have at least two involutions among the  $E_i$ 's,  $1 \leq i \leq 3$ .

Therefore for  $r = 3$  we must have the following situation:

$$1 \neq E_1 \in \langle a \rangle; \quad E_2, E_3 \in G_s^0(n) \setminus \langle a \rangle; \quad E_1 E_2 E_3 = 1; \quad \langle E_1, E_2 \rangle = G_s^0(n)$$

and  $s \neq n - 1$ .

Observe that  $\langle E_1, E_2^2 \rangle = \langle a \rangle = Z_n$  because

$$2n = |\langle E_1, E_2 \rangle| = |\langle E_2, \langle E_1, E_2^2 \rangle \rangle| = 2|\langle E_1, E_2^2 \rangle|;$$

and also  $|E_3| = |E_1 E_2| = 2|(E_1 E_2)^2| = 2|E_1^{s+1} E_2^2|$ . Therefore by denoting  $x = E_1$  and  $y = E_2^2$  the problem now is to compute

$$M = \max \left( \frac{1}{|x|} + \frac{1}{2|y|} + \frac{1}{2|x^{s+1}y|} \right)$$

where the maximum is taken over all  $x \in Z_n \setminus \{1\}$  and  $y \in Z_{n/(n,s+1)}$  such that the least common multiple  $[|x|, |y|] = n$ . Taking  $d = [|x|, |y|]$  we have

$$\begin{aligned} M &= \max \left\{ \frac{1}{|x|} + \frac{1}{2|y|} + \frac{1}{2|x^{s+1}y|} : |y| \mid \frac{n}{(n, s+1)} \right\} \\ &= \max \left\{ \frac{|y|}{nd} + \frac{1}{2|y|} + \frac{1}{(d, |x^{s+1}y|)} \cdot \frac{\left(\frac{n}{|y|}, s+1\right) d^2}{2|x||y|} : |y| \mid \frac{n}{(n, s+1)} \right\} \end{aligned}$$

since

$$\begin{aligned} |x^{s+1}y| &= (d, |x^{s+1}y|) |x^{d(s+1)} y^d| = (d, |x^{s+1}y|) \frac{|x|}{(d(s+1), |x|)} \cdot \frac{|y|}{d} \\ &= (d, |x^{s+1}y|) \frac{|x||y|}{\left((s+1), \frac{n}{|y|}\right) d^2}. \end{aligned}$$

Hence

$$M \leq \max \left\{ \frac{t}{nd} + \frac{1}{2t} + d \frac{(n, s+1)}{2n} : d \mid t \left\lfloor \frac{n}{(n, s+1)} \right\rfloor \right\}. \quad (3.2)$$

Define  $g(t) = \frac{t}{nd} + \frac{1}{2t}$ ,  $t > 0$ . Then  $g'(t) = \frac{1}{nd} - \frac{1}{2t^2} = 0$  for  $t = \sqrt{\frac{nd}{2}}$  which gives a unique local minimum for  $g$ . So, (3.2) becomes

$$M \leq \max \left\{ \frac{1}{n} + \frac{1}{2d} + d \frac{(n, s+1)}{2n}, \frac{1}{d(n, s+1)} + (d+1) \frac{(n, s+1)}{2n} : d \mid \left\lfloor \frac{n}{(n, s+1)} \right\rfloor \right\}. \quad (3.3)$$

Define  $F(d) = \frac{1}{2d} + d \frac{(n, s+1)}{2n}$ ,  $d > 0$ . Then  $F'(d) = \frac{-1}{2d^2} + \frac{(n, s+1)}{2n} = 0$  for  $d = \sqrt{\frac{n}{(n, s+1)}}$  which gives a unique local minimum for  $F$ . Therefore

$$\max \left\{ \frac{1}{n} + \frac{1}{2d} + d \frac{(n, s+1)}{2n} : d \mid \left\lfloor \frac{n}{(n, s+1)} \right\rfloor \right\} = \frac{1}{2} + \frac{1}{n} + \frac{(n, s+1)}{2n}.$$

Also define  $G(d) = \frac{1}{d(n, s+1)} + d \frac{(n, s+1)}{2n}$ ,  $d > 0$ . Then  $G'(d) = \frac{-1}{d^2(n, s+1)} + \frac{(n, s+1)}{2n} = 0$  for  $d = \frac{\sqrt{2n}}{(n, s+1)}$  which gives a unique local minimum for  $G$ . Therefore

$$\begin{aligned} & \max \left\{ \frac{1}{d(n, s+1)} + (d+1) \frac{(n, s+1)}{2n} : d \mid \left\lfloor \frac{n}{(n, s+1)} \right\rfloor \right\} \\ &= \max \left( \frac{1}{(n, s+1)} + \frac{(n, s+1)}{n}, \frac{1}{2} + \frac{1}{n} + \frac{(n, s+1)}{2n} \right) \\ &= \frac{1}{2} + \frac{1}{n} + \frac{(n, s+1)}{2n} \end{aligned}$$

because

$$\begin{aligned} & \frac{(n, s+1)}{2n} + \frac{1}{(n, s+1)} - \frac{1}{2} - \frac{1}{n} \\ &= \frac{1}{2n(n, s+1)} ((n, s+1)^2 - (n+2)(n, s+1) + 2n) \\ &= \frac{1}{2n(n, s+1)} ((n, s+1) - n)((n, s+1) - 2) \leq 0. \end{aligned}$$

Hence equation (3.3) becomes  $M \leq \frac{1}{2} + \frac{1}{n} + \frac{(n, s+1)}{2n}$ .

Observe that for  $x = a$  and  $y = b^2 = 1$  this upper bound is attained in equation (3.2). So  $M = \frac{1}{2} + \frac{1}{n} + \frac{(n, s+1)}{2n}$  and the solution of (3.1) for  $r = 3$  is

$$\min\left(-2 + \sum_{i=1}^3 \left(1 - \frac{1}{|E_i|}\right)\right) = \frac{1}{2} - \frac{1}{n} - \frac{(n, s+1)}{2n}. \quad (a)$$

**Case  $r = 4$**

The only possible choices for  $E_1, \dots, E_4 \in G_s^0(n)$  subject to the conditions mentioned earlier are of exactly two kinds:

(1) Two elements are contained in  $\langle a \rangle$  and the other two elements are in  $G_s^0(n) \setminus \langle a \rangle$ .

(i) If no elements outside  $\langle a \rangle$  are involutions, then  $s \neq n-1$  and in this case the minimum of (3.1) is

$$\geq -2 + 2\left(1 - \frac{1}{p_1}\right) + 2\left(1 - \frac{1}{2p_1}\right) = 2 - \frac{3}{p_1} \geq \frac{1}{2}.$$

So, this case can be ignored in view of (a) above.

(ii) If at least one of the elements outside  $\langle a \rangle$  is an involution, then the two elements in  $\langle a \rangle$  generate it and in this case the minimum of (3.1) is

$$\geq -1 - \max\left\{\frac{1}{t} + \frac{1}{m} : [t, m] = n; t, m > 1\right\}.$$

To compute this last quantity we need the following two Lemmas (see [4]).

LEMMA 3.1.

$$\text{Max}\left\{\frac{1}{r} + \frac{1}{s} : [r, s] = n\right\} = 1 + \frac{1}{n}.$$

LEMMA 3.2. *Let  $n = p_1^{\alpha_1} \dots p_m^{\alpha_m}$ , where  $2 \leq p_1 < p_2 < \dots < p_m$  and  $\alpha_i > 0$  for  $1 \leq i \leq m$ , be the prime factorization of  $n$ . Then*

$$\max\left\{\frac{1}{r} + \frac{1}{s} : [r, s] = n; r, s > 1\right\} = \begin{cases} \frac{1}{p_1} + \frac{p_1}{n} & \text{if } \alpha_1 = 1 \text{ and } n \text{ not prime} \\ \frac{1}{p_1} + \frac{1}{n} & \text{if } \alpha_1 > 1 \text{ or } n \text{ prime.} \end{cases}$$

Now if  $s = n-1$ , then clearly the minimum of the expression (3.1) in this case is

$$\min\left(-2 + \sum_{i=1}^4 \left(1 - \frac{1}{|E_i|}\right)\right) = \begin{cases} 1 - \left(\frac{1}{p_1} + \frac{p_1}{n}\right) & \text{if } \alpha_1 = 1 \text{ and } n \text{ not prime} \\ 1 - \left(\frac{1}{p_1} + \frac{1}{n}\right) & \text{if } \alpha_1 > 1 \text{ or } n \text{ prime;} \end{cases} \quad (b)$$

and if  $s \neq n - 1$ , then the minimum of the expression (3.1) is

$$\geq 1 - \left(\frac{1}{p_1} + \frac{p_1}{n}\right) \geq \frac{1}{2} - \frac{1}{n} - \frac{p_1}{2n} \geq \frac{1}{2} - \frac{1}{n} - \frac{(n, s + 1)}{2n}.$$

So, this case can be ignored in view of the minimum attained earlier in (a).

(2) The four elements are in  $G_s^0(n) \setminus \langle a \rangle$ .

Clearly in this case we need only to consider the case  $s \neq n - 1$  because the expression (3.1) is zero for  $s = n - 1$ .

(i) If the number of involutions in  $E_1, \dots, E_4$  is  $\leq 2$ , then the minimum of the expression (3.1) is  $\geq -2 + 2\left(1 - \frac{1}{2p_1}\right) + 1 = 1 - \frac{1}{p_1} \geq \frac{1}{2}$ . So, this case can be ignored in comparison with (a).

(ii) If there are 3 involutions among  $E_1, \dots, E_4$ , this forces the fourth element to be an involution and the expression (3.1) is zero in this case.

**Case  $r \geq 5$**

In this case if  $s \neq n - 1$ , then the minimum of the expression (3.1) is  $\geq -2 + 5/2 = 1/2$ ; and this can be ignored compared to the value obtained in equation (a).

Also if  $s = n - 1$  and  $n$  is even, then the minimum of the expression (3.1) is  $\geq -2 + 5/2 = 1/2$ . So, this case can be ignored compared to the value obtained in equation (b).

If  $s = n - 1$ ,  $n$  odd and  $r \geq 6$ , the minimum of the expression (3.1) is  $\geq -2 + 6/2 = 1$ . So, this case can be ignored in view of equation (b) as before.

There remains the case  $s = n - 1$ ,  $n$  odd and  $r = 5$ . In this case we have either exactly two or exactly four elements of  $E_1, \dots, E_5$  outside  $\langle a \rangle$ . In the first case the minimum of (3.1) is  $\geq -2 + 2 \cdot \frac{1}{2} + 3\left(1 - \frac{1}{p_1}\right) = 2 - \frac{3}{p_1} \geq 1$  and in the second case the minimum of (3.1) is  $\geq -2 + 4 \cdot \frac{1}{2} + \left(1 - \frac{1}{p_1}\right) = 1 - \frac{1}{p_1}$ . So, both cases can be ignored compared to the minimum attained in equation (b).

Summarizing the above analysis for the case  $\gamma = 0$  and  $r > 0$ , we see that the minimum of the expression (3.1) is given by

$$\min\left(-2 + \sum_{i=1}^r \left(1 - \frac{1}{|E_i|}\right)\right) = \begin{cases} \frac{1}{2} - \frac{1}{n} - \frac{(n, s+1)}{2n} & \text{if } s \neq n-1 \\ 1 - \left(\frac{1}{p_1} + \frac{p_1}{n}\right) & \text{if } s = n-1, \alpha_1 = 1 \\ & \text{and } n \text{ not prime} \\ 1 - \left(\frac{1}{p_1} + \frac{1}{n}\right) & \text{if } s = n-1, \\ & \text{and } \alpha_1 > 1 \text{ or } n \text{ prime.} \end{cases} \quad (\text{ii})$$

Finally, we consider the case  $\gamma \geq 1$  and  $r \geq 1$ . Here, we have to minimize the expression

$$2(\gamma - 1) + \sum_{i=1}^r \left(1 - \frac{1}{|E_i|}\right) \quad (3.4)$$

for all  $\gamma \geq 1$  and all  $r \geq 1$  and all choices of elements  $a_1, b_1, \dots, a_\gamma, b_\gamma; E_1, \dots, E_r \in G_s^0(n)$  such that  $\langle a_1, b_1, \dots, a_\gamma, b_\gamma; E_1, \dots, E_r \rangle = G_s^0(n)$  and  $\prod_{i=1}^{\gamma} [a_i, b_i] \cdot E_1 \dots E_r = 1$ .

By equations (i) and (ii) we may restrict ourselves only to the case  $\gamma = 1$  and  $r \geq 1$ .

If  $s \neq n-1$ , then the minimum of the expression (3.4) is  $\geq 1/2$  which can be ignored compared to the value of equation (ii). Also if  $s = n-1$  and  $n$  is even, then the minimum of (3.4) is  $\geq 1/2$  and this can be also ignored compared to (ii).

If  $s = n-1$ ,  $n$  odd and  $r \geq 2$  then the minimum of the expression (3.4) is  $\geq 1$  which can be ignored compared to (ii).

For the case  $s = n-1$ ,  $n$  odd and  $r = 1$  we have  $E_1 \in \langle a \rangle$  and the minimum of (3.4) is  $\geq 1 - \frac{1}{p_1}$ . So, this case also can be ignored compared to the result of (ii).

From the equations (i) and (ii) and the above equation (2.1) now reads

$$\frac{2(g^* - 1)}{2n} = \begin{cases} \frac{1}{2} - \frac{1}{n} - \frac{(n, s+1)}{2n} & \text{if } s \neq n-1 \\ 1 - \left(\frac{1}{p_1} + \frac{p_1}{n}\right) & \text{if } s = n-1, \alpha_1 = 1 \text{ and } n \text{ not prime} \\ 1 - \left(\frac{1}{p_1} + \frac{1}{n}\right) & \text{if } s = n-1, \text{ and } \alpha_1 > 1 \text{ or } n \text{ prime.} \end{cases}$$

Therefore we have the following theorem.

**THEOREM 3.3.** *The minimum genus  $g^* \geq 2$  of a compact Riemann surface that admits a group of biholomorphic automorphisms to type  $G_s^0(n)$ ,  $n \geq 3$ , is given by:*



$$g^* = \begin{cases} \frac{n - (n, s + 1)}{2} & \text{if } s \neq n - 1 \\ n + 1 - \frac{n}{p_1} - p_1 & \text{if } s = n - 1, \alpha_1 = 1 \text{ and } n \text{ not prime} \\ n - \frac{n}{p_1} & \text{if } s = n - 1, \text{ and } \alpha_1 > 1 \text{ or } n \text{ prime} \end{cases}$$

where  $p_1$  is the minimum prime divisor of  $n$  and  $n = p_1^{\alpha_1} m$  with  $(p_1, m) = 1$ .

**COROLLARY 3.4.** *Hypotheses are the same as Theorem 3.3.*

i) For  $G = D_{2n}$ ,  $n \geq 3$ , we have

$$g^* = \begin{cases} n + 1 - \frac{n}{p_1} - p_1 & \text{if } \alpha_1 = 1 \text{ and } n \text{ not prime} \\ n - \frac{n}{p_1} & \text{if } \alpha_1 > 1 \text{ or } n \text{ prime.} \end{cases}$$

ii) For  $G = SD_{2^{n+1}}$ ,  $n \geq 3$ , we have  $g^* = 2^{n-2}$ .

**PROOF.** i) Put  $s = n - 1$  in Theorem 3.3.

ii) Observe that the semi-dihedral group  $SD_{2^{n+1}}$ ,  $n \geq 3$ , is defined by

$$SD_{2^{n+1}} = \langle a, b : a^{2^n} = 1 = b^2, b^{-1}ab = a^{2^{n-1}-1} \rangle.$$

So the result can be obtained by replacing  $n$  by  $2^n$  and putting  $s = 2^{n-1} - 1$  in Theorem 3.3.

#### 4. Determination of $g^*$ for the Class $G_s^1(n)$

We consider  $G_s^1(n) = \langle a, b : a^n = 1, a^{n/2} = b^2, b^{-1}ab = a^s \rangle$  subject to  $n \geq 4$ ,  $2 \mid n$ ,  $1 < s < n$ ,  $(s, n) = 1$ ,  $s^2 \equiv 1 \pmod{n}$  and it has order  $2n$ .

Our goal is to solve equation (2.1) for  $g^*$  where  $G = G_s^1(n)$  or to compute the right-hand side of (2.1).

Let  $n = 2^{\alpha_1} p_2^{\alpha_2} \dots p_m^{\alpha_m}$ , where  $2 < p_2 < \dots < p_m$  and  $\alpha_i > 0$  for  $1 \leq i \leq m$ , be the prime factorization of  $n$ .

By virtue of the following proposition we may consider only the case  $2 \nmid \frac{n}{(n, s + 1)}$ .

**PROPOSITION 4.1** [1, p. 176]. *Suppose  $n$  is even, then  $G_s^0(n) \cong G_s^1(n) \Leftrightarrow s = s'$  and  $2 \mid \frac{n}{(n, s + 1)}$ .*

First we consider the case  $r = 0$ . Then clearly  $2(\gamma - 1) > 0$  and  $G_s^1(n) = \langle a, b \rangle$ . Therefore

$$\min_{\gamma \in A_0} 2(\gamma - 1) = 2. \tag{i}$$

Second we consider the case  $\gamma = 0$  for all  $r > 0$  with the elements  $E_1, \dots, E_r \in G_s^1(n)$  such that  $E_1 E_2 \dots E_r = 1$  and  $\langle E_1, \dots, E_r \rangle = G_s^1(n)$  and we compute the strictly positive minimum of the expression

$$-2 + \sum_{i=1}^r \left(1 - \frac{1}{|E_i|}\right) \quad (4.1)$$

with respect to all such choices. Clearly we may assume that  $E_i \neq 1$  for  $1 \leq i \leq r$  and that  $r \geq 3$ . Note also that the number of the  $E_i$ 's that lie outside  $\langle a \rangle \subseteq G_s^1(n)$  is always  $\geq 2$  in each choice of  $E_1, \dots, E_r \in G_s^1(n)$  as above.

**Case  $r = 3$**

Here we must have the following situation:

$$1 \neq E_1 \in \langle a \rangle; \quad E_2, E_3 \in G_s^1(n) \setminus \langle a \rangle; \quad E_1 E_2 E_3 = 1 \quad \text{and} \quad \langle E_1, E_2 \rangle = G_s^1(n).$$

Observe that  $\langle E_1, E_2^2 \rangle = \langle a \rangle$  and that

$$\begin{aligned} |E_2| &= 2|E_2^2| \\ &= 4|E_2^2 \cdot a^{n/2}| \quad \text{since } 2 \nmid \frac{n}{(n, s+1)} \\ &= 4|y| \quad \text{where } y \in Z_{n/(n, s+1)} \text{ and also} \end{aligned}$$

$$|E_3| = |E_1 E_2| = 2|(E_1 E_2)^2| = 2|E_1^{s+1} \cdot E_2^2| = 4|E_1^{s+1} \cdot y|.$$

Now we distinguish two cases:

**Case 1:**  $\alpha_1 \geq 2$

In this case we only have  $\langle E_1, y \rangle = \langle a \rangle$ . Therefore the problem now is to compute  $N_n = \max \left( \frac{1}{|x|} + \frac{1}{4|y|} + \frac{1}{4|x^{s+1}y|} \right)$  where the maximum is taken over all  $x \in Z_n \setminus \{1\}$  and  $y \in Z_{n/(n, s+1)}$  such that  $[|x|, |y|] = n$ . Taking  $d = (|x|, |y|)$  we have

$$\begin{aligned} N_n &= \max \left\{ \frac{1}{|x|} + \frac{1}{4|y|} + \frac{1}{4|x^{s+1}y|} : |y| \mid \frac{n}{(n, s+1)} \right\} \\ &\leq \max \left\{ \frac{t}{nd} + \frac{1}{4t} + d \frac{(n, s+1)}{4n} : d|t| \frac{n}{(n, s+1)} \right\}. \end{aligned} \quad (4.2)$$

Analyzing equation (4.2) in exactly the same way as was done before for equation (3.2) we get

$$\text{Max} \left\{ \frac{t}{nd} + \frac{1}{4t} + d \frac{(n, s+1)}{4n} : d|t| \frac{n}{(n, s+1)} \right\} = \frac{1}{4} + \frac{1}{n} + \frac{(n, s+1)}{4n}.$$

Note that  $(n, s+1) \geq 4$  (since  $(s, n) = 1$  gives  $s$  odd and  $2 \mid (n, s+1)$ ). If  $(n, s+1) = 2$ , then  $\frac{n}{2}$  is odd since  $2 \nmid \frac{n}{(n, s+1)}$  and  $s^2 \equiv 1 \pmod{n}$  gives  $\frac{n}{2} \mid s-1$  and since  $1 < s < n$  we must have  $s = \frac{n}{2} + 1$  or  $s$  is even which is absurd).

Observe that for  $x = a$  and  $y = b^4 = 1$ , this upper bound is attained in (4.2). Therefore  $N_n = \frac{1}{4} + \frac{1}{n} + \frac{(n, s+1)}{4n}$  and the solution of (4.1) for  $r = 3$  and  $\alpha_1 \geq 2$  is

$$\min\left(-2 + \sum_{i=1}^3 \left(1 - \frac{1}{|E_i|}\right)\right) = \frac{3}{4} - \frac{1}{n} - \frac{(n, s+1)}{4n}. \quad (c1)$$

**Case 2:**  $\alpha_1 = 1$

In this case we only have  $\langle E_1, y, a^{n/2} \rangle = \langle a \rangle$  and therefore the problem is to compute  $k = \max\left(\frac{1}{|x|} + \frac{1}{4|y|} + \frac{1}{4|x^{s+1}y|}\right)$  where the maximum is taken over all  $x \in Z_n \setminus \{1\}$  and  $y \in Z_{n/(n, s+1)}$  such that  $\langle x, y, a^{n/2} \rangle = \langle a \rangle$ .

$$\begin{aligned} k &= \max\left(\max_{|x| \text{ even}} \left(\frac{1}{|x|} + \frac{1}{4|y|} + \frac{1}{4|x^{s+1}y|}\right), \max_{|x| \text{ odd}} \left(\frac{1}{|x|} + \frac{1}{4|y|} + \frac{1}{4|x^{s+1}y|}\right)\right) \\ &= \max\left(\max\left\{\frac{1}{|x|} + \frac{1}{4|y|} + \frac{1}{4|x^{s+1}y|} : x \in Z_n \setminus \{1\}, y \in Z_{n/(n, s+1)}, [|x|, |y|] = n\right\}, \right. \\ &\quad \left. \max\left\{\frac{1}{|x|} + \frac{1}{4|y|} + \frac{1}{4|x^{s+1}y|} : x \in Z_{n/2} \setminus \{1\}, y \in Z_{(n/2)/(n/2, s+1)}, [|x|, |y|] = n/2\right\}\right) \\ &= \max(N_n, N_{n/2}) \\ &= \max\left(\frac{1}{4} + \frac{1}{n} + \frac{(n, s+1)}{4n}, \frac{1}{4} + \frac{2}{n} + \frac{(n, s+1)}{4n}\right) = \frac{1}{4} + \frac{2}{n} + \frac{(n, s+1)}{4n}. \end{aligned}$$

Observe that for  $x = a^2$  and  $y = b^4 = 1$  the maximum is attained. Therefore the solution of (4.1) for  $r = 3$  and  $\alpha_1 = 1$  is

$$\min\left(-2 + \sum_{i=1}^3 \left(1 - \frac{1}{|E_i|}\right)\right) = \frac{3}{4} - \frac{2}{n} - \frac{(n, s+1)}{4n}. \quad (c2)$$

**Case  $r = 4$**

Here the only possible choices for  $E_1, \dots, E_r \in G_s^1(n)$  subject to the conditions mentioned earlier are of exactly two kinds:

- (1) Two elements are in  $\langle a \rangle$  and the other two elements are in  $G_s^1(n) \setminus \langle a \rangle$ .
  - (i) There is no element of order 4 in the elements outside  $\langle a \rangle$ . In this

case the minimum of (4.1) is  $\geq -2 + 2(1 - \frac{1}{8}) + 2(1 - \frac{1}{2}) = \frac{3}{4}$  since every element outside  $\langle a \rangle$  has order divisible by 4 as shown in the previous case  $r = 3$ . So, this case can be ignored in view of (c1) and (c2) above.

(ii) At least one of the elements outside  $\langle a \rangle$  is of order 4.

If  $\alpha_1 \geq 2$ , then  $\langle E_1, E_2 \rangle = \langle a \rangle$  and in this case the maximum of (4.1) is  $\geq -2 + 2\left(1 - \frac{1}{4}\right) + 2 - \max \left\{ \frac{1}{t} + \frac{1}{m} : [t, m] = n; t, m > 1 \right\} \geq \frac{3}{2} - \left(\frac{1}{2} + \frac{2}{n}\right) = 1 - \frac{2}{n} \geq \frac{3}{4} - \frac{1}{n}$  which can be ignored in comparison with (c1).

If  $\alpha_1 = 1$ , then the case  $\langle E_1, E_2 \rangle = \langle a \rangle$  can be excluded as above and we may consider only the case  $\langle E_1, E_2 \rangle = \langle a^2 \rangle$ . In this case the minimum of (4.1) is  $\geq -2 + 2\left(1 - \frac{1}{4}\right) + 2 - \max \left\{ \frac{1}{t} + \frac{1}{m} : [t, m] = \frac{n}{2}; t, m > 1 \right\}$

$$\begin{aligned} &\geq \frac{3}{2} - \left(\frac{1}{p_2} + \frac{2p_2}{n}\right) \quad \text{and} \quad p_3 | n \quad \text{or} \quad \frac{3}{2} - \left(\frac{1}{p_2} + \frac{2}{n}\right) \quad \text{by Lemma 3.2} \\ &\geq 1 - \frac{1}{p_3} \quad \text{or} \quad 1 - \frac{2}{n} \\ &\geq \frac{3}{4} \quad \text{or} \quad 1 - \frac{2}{n}. \end{aligned}$$

So this minimum can also be ignored compared to the value of equation (c2).

(2) The four elements are in  $G_s^1(n) \setminus \langle a \rangle$ .

In this case the minimum of (4.1) is  $\geq -2 + 4(1 - \frac{1}{4}) = 1$ . So this can be ignored compared to (c1) and (c2).

**Case  $r \geq 5$**

In this case the minimum of (4.1) is  $\geq -2 + 2(1 - \frac{1}{4}) + \frac{3}{2} = 1$  and this case can be ignored as well.

Summarizing the above analysis for the case  $\gamma = 0$  and  $r > 0$ , we see that the minimum of the expression (4.1) is given by

$$\min \left( -2 + \sum_{i=1}^r \left( 1 - \frac{1}{|E_i|} \right) \right) = \begin{cases} \frac{3}{4} - \frac{1}{n} - \frac{(n, s + 1)}{4n} & \text{if } \alpha_1 \geq 2 \\ \frac{3}{4} - \frac{2}{n} - \frac{(n, s + 1)}{4n} & \text{if } \alpha_1 = 1. \end{cases} \quad \text{(ii)}$$

Finally, we consider the case  $\gamma \geq 1$  and  $r \geq 1$ . Here we consider minimizing the expression

$$2(\gamma - 1) + \sum_{i=1}^r \left( 1 - \frac{1}{|E_i|} \right) \quad \text{(4.3)}$$

for all  $\gamma \geq 1$  and all  $r \geq 1$  and all choices of elements  $a_1, b_1, \dots, a_\gamma, b_\gamma$ ;  $E_1, \dots, E_r \in G_s^1(n)$  such that  $\langle a_1, b_1, \dots, a_\gamma, b_\gamma; E_1, \dots, E_r \rangle = G_s^1(n)$  and  $\prod_{i=1}^{\gamma} [a_i, b_i] \cdot E_1 \dots E_r = 1$ .

By equations (i) and (ii) we may restrict ourselves only to the case  $\gamma = 1$  and  $r = 1$ . Therefore, we consider the following situation:

$$[x_1, x_2] \cdot E_1 = 1 \quad \text{where } x_1, x_2, E_1 \in G_s^1(n) \setminus \{1\} \text{ and } x_1 \notin \langle a \rangle.$$

**Case 1:**  $x_1 \notin \langle a \rangle$  and  $x_2 \in \langle a \rangle$

In this case we have  $|x_1| = 4|y|$  where  $|y| \left| \frac{n}{(n, s+1)} \right.$  and  $\langle y \cdot a^{n/2}, x_2 \rangle = \langle a \rangle$ . Also note that  $[x_1, x_2]^{-1} = x_2^{s-1}$  so that our problem is to compute

$$\begin{aligned} L &= \min \left\{ |x_2^{s-1}| : y, x_2 \in \langle a \rangle, |y| \left| \frac{n}{(n, s+1)} \right., \langle y \cdot a^{n/2}, x_2 \rangle = \langle a \rangle, x_2 \neq 1 \right\} \\ &= \min \left\{ \frac{|x_2|}{(s-1, |x_2|)} : y, x_2 \in \langle a \rangle, |y| \left| \frac{n}{(n, s+1)} \right., [2|y|, |x_2|] = n, x_2 \neq 1 \right\} \\ &= \min \left\{ \frac{n}{\left( \frac{n(s-1)}{|x_2|}, n \right)} : 1 \neq |x_2| = \frac{n}{2|y|} (2|y|, |x_2|), |y| \left| \frac{n}{(n, s+1)} \right. \right\}. \end{aligned}$$

If  $\alpha_1 \geq 2$ , we have  $2 \mid |x_2|$  and  $\frac{n}{|x_2|} \mid |y|$ , then

$$L \geq \min \left\{ \frac{n}{(|y|(s-1), n)} : |y| \left| \frac{n}{(n, s+1)} \right. \right\} = \frac{(n, s+1)}{(n, s+1, s-1)}.$$

If  $\alpha_1 = 1$  and  $|x_2|$  even, then  $L \geq \frac{(n, s+1)}{(n, s+1, s-1)}$  as before.

If  $\alpha_1 = 1$  and  $|x_2|$  is odd, we have  $\frac{n}{|x_2|} \mid 2|y|$ , and hence

$$\begin{aligned} L &\geq \min \left\{ \frac{n}{(2|y|(s-1), n)} : |y| \left| \frac{n}{(n, s+1)} \right. \right\} \\ &= \min \left\{ \frac{n}{(|y|(s-1), n)} : |y| \left| \frac{n}{(n, s+1)} \right. \right\} \quad \text{since } s-1 \text{ is even} \\ &= \frac{(n, s+1)}{(n, s+1, s-1)}. \end{aligned}$$

This lower bound is attained for  $x_1 = ab$  and  $x_2 = a^{n/(n,s+1)}$  and we get

$$L = \frac{(n, s+1)}{(n, s+1, s-1)}.$$

**Case 2:**  $x_1, x_2 \notin \langle a \rangle$

In this case putting  $x_1 = a^i b$  and  $x_2 = a^j b$  we have  $[x_1, x_2]^{-1} = a^{(i-j)(s-1)}$  so that our problem is to compute

$$\begin{aligned} P &= \min\{|a^{(i-j)(s-1)}| : \langle a^i b, a^j b \rangle = G_s^1(n)\} \\ &= \min\{|a^{t(s-1)}| : \langle a^{j+t} b, a^t \rangle = G_s^1(n)\} = \min|x^{s-1}| \end{aligned}$$

where the minimum is taken over all  $1 \neq x \in \langle a \rangle$  such that  $\langle y \cdot a^{n/2}, x \rangle = \langle a \rangle$

and  $|y| \mid \frac{n}{(n, s+1)}$ . So  $P = L = \frac{(n, s+1)}{(n, s+1, s-1)}$ .

Cases (1) and (2) show that the minimum of the expression (4.3) is given by

$$\min\left(2(\gamma-1) + \sum_{i=1}^r \left(1 - \frac{1}{|E_i|}\right)\right) = 1 - \frac{(n, s+1, s-1)}{(n, s+1)}. \quad (\text{iii})$$

From (i), (ii), and (iii) we get

$$\frac{2(g^* - 1)}{2n} = \begin{cases} \frac{1}{2} - \frac{1}{n} - \frac{(n, s+1)}{2n} & \text{if } 2 \mid \frac{n}{(n, s+1)} \\ \min\left\{\frac{3}{4} - \frac{2}{n} - \frac{(n, s+1)}{4n}, 1 - \frac{(n, s+1, s-1)}{(n, s+1)}\right\} & \text{if } 2 \nmid \frac{n}{(n, s+1)} \\ \text{and } \alpha_1 = 1 \\ \min\left\{\frac{3}{4} - \frac{1}{n} - \frac{(n, s+1)}{4n}, 1 - \frac{(n, s+1, s-1)}{(n, s+1)}\right\} & \text{if } 2 \nmid \frac{n}{(n, s+1)} \\ \text{and } \alpha_1 \geq 2. \end{cases}$$

Therefore we have the following theorem.

**THEOREM 4.2.** *The minimum genus  $g^* \geq 2$  of a compact Riemann surface that admits a group of biholomorphic automorphisms of type  $G_s^1(n)$  is given by:*

$$g^* = \begin{cases} \frac{n - (n, s+1)}{2} & \text{if } 2 \mid \frac{n}{(n, s+1)} \\ \min\left\{\frac{3n - (n, s+1)}{4} - 1, n + 1 - n \frac{(n, s+1, s-1)}{(n, s+1)}\right\} & \text{if } 2 \nmid \frac{n}{(n, s+1)} \\ \text{and } \alpha_1 = 1 \\ \min\left\{\frac{3n - (n, s+1)}{4}, n + 1 - n \frac{(n, s+1, s-1)}{(n, s+1)}\right\} & \text{if } 2 \nmid \frac{n}{(n, s+1)} \\ \text{and } \alpha_1 \geq 2 \end{cases}$$

where  $n = 2^{\alpha_1} m$  with  $m$  odd.

COROLLARY 4.3. For  $G = DC_{2n}$ ,  $n \geq 4$ , we have

$$g^* = \begin{cases} \frac{n}{2} - 1 & \text{if } \alpha_1 = 1 \\ \frac{n}{2} & \text{if } \alpha_1 \geq 2 \end{cases}$$

In particular for  $G = Q_{2^{n+1}}$ ,  $n \geq 2$ , we have  $g^* = 2^{n-1}$ .

### 5. List of $g^*$ for the groups of order $n \leq 15$

The following table is a list of all groups  $G$  of order  $n$ ,  $2 \leq n \leq 15$ , and the corresponding minimum genus  $g^*$  of a compact Riemann surface that admits  $G$  as a group of biholomorphic automorphisms:

$n$	$G$	$g^*$
2	$Z_2$	2 (Harvey)
3	$Z_3$	2 (Harvey)
4	$Z_4$	2 (Harvey)
	$Z_2 \times Z_2$	2 (Maclachlan)
5	$Z_5$	2 (Harvey)
6	$Z_6$	2 (Harvey)
	$S_3$	2
7	$Z_7$	3 (Harvey)
8	$Z_8$	2 (Harvey)
	$Z_2 \times Z_4$	3 (Maclachlan)
	$Z_2 \times Z_2 \times Z_2$	3 (Maclachlan)
	$D_8$	2
	$Q_8$	2
9	$Z_9$	3 (Harvey)
	$Z_3 \times Z_3$	4 (Maclachlan)
10	$Z_{10}$	2 (Harvey)
	$D_{10}$	4
11	$Z_{11}$	5 (Harvey)
12	$Z_{12}$	3 (Harvey)
	$Z_2 \times Z_6$	2 (Maclachlan)
	$A_4$	3
	$D_{12}$	2
	$DC_{12}$	2
13	$Z_{13}$	6 (Harvey)
14	$Z_{14}$	3 (Harvey)
	$D_{14}$	6
15	$Z_{15}$	4 (Harvey)

PROOF. All the values of  $g^*$  of this table follow directly from Harvey's and Maclachlan's results together with Corollaries 3.4 and 4.3 except  $G = A_4$ .

Suppose that  $A_4$  acts as a group of biholomorphic automorphisms on a compact Riemann surface  $X$  of genus 2, then one can immediately see that  $X$  must be a ramified Galois covering of the Riemann sphere ramified over  $\{a_1, \dots, a_r\}$ ,  $r \geq 3$ , and that at least two of these points have ramification index = 3 so that equation (2.1) now gives

$$\frac{1}{6} = \min\left(-2 + \left(2 - \frac{2}{3}\right) + \left(1 - \frac{1}{m_3}\right) + \dots + \left(1 - \frac{1}{m_r}\right)\right)$$

which is impossible for  $r \geq 4$  and also for  $r = 3$  because  $A_4$  has no element of order 6.

Now observe that there exists a ramified Galois covering of genus 3 of the Riemann sphere with Galois group isomorphic to  $A_4$  where the ramification occurs over exactly four points with ramification indices 3, 3, 2, 2 respectively. This shows that for  $G = A_4$ ,  $g^* = 3$ .

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