Moduli of supersingular K3 surfaces in characteristic 2

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We work over an algebraically closed field k of characteristic 2.

§1. Construction of the Moduli Space

Let X be a supersingular K3 surface.

Let \mathcal{L} be a line bundle on X with $\mathcal{L}^2 = 2$. We say that \mathcal{L} is a *polarization of type* (\sharp) if the following conditions are satisfied:

- ullet the complete linear system $|\mathcal{L}|$ has no fixed components, and
- the set of curves contracted by the morphism

$$\Phi_{|\mathcal{L}|}:X o \mathbb{P}^2$$

defined by $|\mathcal{L}|$ consists of 21 disjoint (-2)-curves.

If (X, \mathcal{L}) is a polarized supersingular K3 surface of type (\sharp) , then $\Phi_{|\mathcal{L}|}: X \to \mathbb{P}^2$ is purely inseparable.

Every supersingular K3 surface has a polarization of type (\sharp).

We will construct the moduli space \mathcal{M} of polarized supersingular K3 surfaces of type (\sharp).

Let $G = G(X_0, X_1, X_2)$ be a non-zero homogeneous polynomial of degree 6.

We can define

$$dG \; \in \; \Gamma(\mathbb{P}^2,\Omega^1_{\mathbb{P}^2}(6)),$$

because we are in characteristic 2 and we have $\mathcal{O}_{\mathbb{P}^2}(6)\cong \mathcal{O}_{\mathbb{P}^2}(3)^{\otimes 2}.$

We put

$$Z(dG):=\{dG=0\}=\left\{ egin{array}{l} rac{\partial G}{\partial X_0}=rac{\partial G}{\partial X_1}=rac{\partial G}{\partial X_2}=0 \end{array}
ight\} \;\;\subset\;\; \mathbb{P}^2.$$

If dim Z(dG) = 0, then

$$\operatorname{length}\, \mathcal{O}_{Z(dG)} = c_2(\Omega^1_{\mathbb{P}^2}(6)) = 21.$$

We put

$$egin{aligned} \mathcal{U} &:= \Set{G \mid Z(dG) ext{ is reduced of dimension } 0} \ \subset & H^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(6)). \end{aligned}$$

For $G \in \mathcal{U}$, we put

$$Y_G:=\{W^2=G(X_0,X_1,X_2)\} \quad \stackrel{\pi_G}{\longrightarrow} \quad \mathbb{P}^2,$$

and let

$$ho_G:X_G o Y_G$$

be the minimal resolution of Y_G .

We have

$$\operatorname{Sing}(Y_G)=\pi_G^{-1}(Z(dG))=\{\ 21\ ext{ordinary nodes}\ \}.$$

We then put

$$\mathcal{L}_G := (\pi_G \circ
ho_G)^* \mathcal{O}_{\mathbb{P}^2}(1).$$

 (X, \mathcal{L}) is a polarized supersingular K3 surface of type (\sharp)



there exists $G \in \mathcal{U}$ such that $(X,\mathcal{L}) \cong (X_G,\mathcal{L}_G)$

We put

$$\mathcal{V}:=H^0(\mathbb{P}^2,\mathcal{O}_{\mathbb{P}^2}(3)).$$

Because we have $d(G + H^2) = dG$ for $H \in \mathcal{V}$, the additive group \mathcal{V} acts on the space \mathcal{U} by

$$(G,H)\in \mathcal{U} imes \mathcal{V} \;\; \mapsto \;\; G+H^2\in \mathcal{U}.$$

Let G and G' be homogeneous polynomials in \mathcal{U} .

Then the following conditions are equivalent:

- (i) Y_G and $Y_{G'}$ are isomorphic over \mathbb{P}^2 ,
- (ii) Z(dG) = Z(dG'), and
- (iii) there exist $c \in k^{\times}$ and $H \in \mathcal{V}$ such that $G' = c\,G + H^2$.

Therefore the moduli space \mathcal{M} of polarized supersingular K3 surfaces of type (\sharp) is constructed by

$$\mathcal{M} = PGL(3,k) \backslash \mathbb{P}_*(\mathcal{U}/\mathcal{V}).$$

We put

$$\mathcal{P}:=\{P_1,\ldots,P_{21}\},$$

on which the full symmetric group S_{21} acts from left.

We denote by \mathcal{G} the space of all injective maps

$$\gamma: \mathcal{P} \hookrightarrow \mathbb{P}^2$$

such that there exists $G \in \mathcal{U}$ satisfying $\gamma(\mathcal{P}) = Z(dG)$.

Then we can construct \mathcal{M} by

$$\mathcal{M} = PGL(3,k) \backslash \mathcal{G}/S_{21}.$$

Example by Dolgachev-Kondo:

$$egin{array}{ll} G_{
m DK} \ := \ X_0 X_1 X_2 (X_0^3 + X_1^3 + X_2^3), \ Z(dG_{
m DK}) \ = \ \mathbb{P}^2(\mathbb{F}_4). \end{array}$$

The Artin invariant of the supersingular K3 surface $X_{G_{
m DK}}$ is 1.

 $[G_{\mathrm{DK}}] \in \mathcal{M}$: the Dolgachev-Kondo point.

§2. Stratification by Isomorphism Classes of Codes

Let G be a polynomial in \mathcal{U} .

 $NS(X_G): ext{the N\'eron-Severi lattice of } X_G, \ \operatorname{disc} NS(X_G) = -2^{2\sigma(X_G)},$

 $(\sigma(X_G))$ is the Artin invariant of X_G).

Let $\gamma: \mathcal{P} \hookrightarrow \mathbb{P}^2$ be an injective map such that

$$\gamma(\mathcal{P}) = Z(dG) = \pi_G(\operatorname{Sing} Y_G),$$

that is, γ is a numbering of the singular points of Y_G .

 $E_i \subset X_G$: the (-2)-curve that is contracted to $\gamma(P_i)$.

Then $NS(X_G)$ contains a sublattice

$$egin{array}{ll} S_0^ee &=\operatorname{Hom}(S_0,\mathbb{Z})=\langle\; [E_1]/2,\ldots,[E_{21}]/2,[\mathcal{L}_G]/2\;
angle \ &\supset\; NS(X_G). \end{array}$$

We put

$$egin{array}{lll} \widetilde{\mathcal{C}}_G \ := \ NS(X_G)/S_0 \ \subset \ S_0^ee/S_0 \ = \ \mathbb{F}_2^{\oplus 21} \oplus \mathbb{F}_2, \ \mathcal{C}_G \ := \ \mathrm{pr}(\widetilde{\mathcal{C}}_G) \ \subset \ \mathbb{F}_2^{\oplus 21} \ \cong \ 2^{\mathcal{P}} (ext{the power set of } \mathcal{P}). \end{array}$$

Here the identification $\mathbb{F}_2^{\oplus 21} \cong 2^{\mathcal{P}}$ is given by

$$v \mapsto \{\; P_i \in \mathcal{P} \;\mid \; ext{the i-th coordinate of v is 1} \;\}.$$

We have

$$\dim \widetilde{\mathcal{C}}_G = \dim \mathcal{C}_G = 11 - \sigma(X_G).$$

We say that a reduced irreducible curve $C \subset \mathbb{P}^2$ splits in X_G if the proper transform of C in X_G is non-reduced, that is, of the form $2F_C$, where $F_C \subset X_G$ is a reduced curve in X_G .

We say that a reduced curve $C \subset \mathbb{P}^2$ splits in X_G if every irreducible component of C splits in X_G .

 $C\subset \mathbb{P}^2\,:\, ext{a curve of degree d splitting in X_G},$

 $m_i(C)$: the multiplicity of C at $\gamma(P_i) \in Z(dG)$.

$$egin{aligned} [F_C] &= rac{1}{2} (d \cdot [\mathcal{L}_G] - \sum_{i=1}^{21} m_i(C)[E_i]) \;\; \in \;\; NS(X_G), \ & ilde{w}(C) \; := \; [F_C] mod S_0 \;\; \in \;\; \widetilde{\mathcal{C}}_G = NS(X_G)/S_0, \ &w(C) \; := \; \mathrm{pr}(ilde{w}(C)) \ &= \; \{\; P_i \in \mathcal{P} \;\; | \;\; m_i(C) \; \mathrm{is \; odd} \; \} \;\; \in \;\; \mathcal{C}_G. \end{aligned}$$

A general member Q of the linear system

$$|\mathcal{I}_{Z(dG)}(5)| = \Big\langle \ rac{\partial G}{\partial X_0}, rac{\partial G}{\partial X_1}, rac{\partial G}{\partial X_2} \ \Big
angle$$

splits in X_G .

In particular,

$$w(Q) = \mathcal{P} = (1, 1, \ldots, 1) \in \mathcal{C}_G.$$

What kind of codes can appear as C_G for some $G \in \mathcal{U}$?

 $NS(X_G)$ has the following properties;

- ullet type II (that is, $v^2 \in \mathbb{Z}$ for any $v \in NS(X_G)^{\vee}$),
- ullet there are no $u \in NS(X_G)$ such that $u \cdot [\mathcal{L}_G] = 1$ and $u^2 = 0$ (that is, $|\mathcal{L}_G|$ is fixed component free), and
- ullet if $u \in NS(X_G)$ satisfies $u \cdot [\mathcal{L}_G] = 0$ and $u^2 = -2$, then $u = [E_i]$ or $-[E_i]$ for some i (that is, Sing Y_G consists of 21 ordinary nodes).

 \mathcal{C}_G has the following properties;

- $\mathcal{P} = (1, 1, ..., 1) \in \mathcal{C}_G$, and
- $\bullet |w| \in \{0, 5, 8, 9, 12, 13, 16, 21\} ext{ for any } w \in \mathcal{C}_G.$

The isomorphism classes $[\mathcal{C}]$ of codes $\mathcal{C} \subset \mathbb{F}_2^{\oplus 21} = 2^{\mathcal{P}}$ satisfying these conditions are classified:

$$\sigma = 11 - \dim \mathcal{C},$$

 $r(\sigma)$ = the number of the isomorphism classes.

σ	1	2	3	4	5	6	7	8	9	10	total	
$r(\sigma)$	1	3	13	41	58	43	21	8	3	1	193	•

the isomorphism class of $(X_G, \mathcal{L}_G) \in \mathcal{M}_{[\mathcal{C}]}$ $\iff \mathcal{C}_G \in [\mathcal{C}]$

$$\mathcal{M} = PGL(3,k) ackslash \mathbb{P}_*(\mathcal{U}/\mathcal{V}) = igsqcup_{ ext{the isom. classes}} \mathcal{M}_{[\mathcal{C}]}.$$

Each $\mathcal{M}_{[\mathcal{C}]}$ is non-empty.

$$\dim \mathcal{M}_{[\mathcal{C}]} = \sigma - 1 = 10 - \dim \mathcal{C}.$$

Case of $\sigma = 1$.

There exists only one isomorphism class $[\mathcal{C}_{DK}]$ with dimension 10.

$$\mathcal{P}\cong\mathbb{P}^2(\mathbb{F}_4),$$

 $\mathcal{C}_{ ext{DK}} := \langle \; L(\mathbb{F}_4) \; | \; L : \mathbb{F}_4 ext{-rational lines}
angle \; \subset \; \; 2^{\mathcal{P}}.$

The weight enumerator of $\mathcal{C}_{\mathrm{DK}}$ is

$$1 + 21z^5 + 210z^8 + 280z^9 + 280z^{12} + 210z^{13} + 21z^{16} + z^{21}$$
.

The 0-dimensional stratum $\mathcal{M}_{\mathrm{DK}}$ consists of a single point $[(X_{\mathrm{DK}},\mathcal{L}_{\mathrm{DK}})]$, where X_{DK} is the resolution of

$$W^2 = X_0 X_1 X_2 (X_0^3 + X_1^3 + X_2^3).$$

§3. Geometry of Splitting Curves and Codes

 $G \in \mathcal{U}$.

We fix a bijection

$$\gamma: \mathcal{P} \stackrel{\sim}{ o} Z(dG) = \pi_G(\operatorname{Sing} Y_G).$$

Let $L \subset \mathbb{P}^2$ be a line.

L splits in (X_G, \mathcal{L}_G) ,

$$\iff |L \cap Z(dG)| \ge 3,$$

$$\iff |L\cap Z(dG)|=5.$$

Let $Q \subset \mathbb{P}^2$ be a non-singular conic curve.

Q splits in (X_G, \mathcal{L}_G) ,

$$\iff |Q \cap Z(dG)| \geq 6$$
, and

$$\iff |Q \cap Z(dG)| = 8.$$

The word $w(L) = \gamma^{-1}(L \cap Z(dG))$ of a splitting line L is of weight 5.

The word $w(Q) = \gamma^{-1}(Q \cap Z(dG))$ of a splitting non-singular conic curve Q is of weight 8.

A pencil \mathcal{E} of cubic curves in \mathbb{P}^2 is called a *regular pencil* if the following hold:

- ullet the base locus $\mathrm{Bs}(\mathcal{E})$ consists of distinct 9 points, and
- every singular member has only one ordinary node.

We say that a regular pencil \mathcal{E} splits in (X_G, \mathcal{L}_G) if every member of \mathcal{E} splits in (X_G, \mathcal{L}_G) .

Let \mathcal{E} be a regular pencil of cubic curves spanned by E_0 and E_{∞} . Let $H_0=0$ and $H_{\infty}=0$ be the defining equations of E_0 and E_{∞} , respectively. Then \mathcal{E} splits in (X_G, \mathcal{L}_G) if and only if

$$Z(dG) = Z(d(H_0H_\infty)),$$

or equivalently

 Y_G and $Y_{H_0H_\infty}$ are isomorphisc over $\mathbb{P}^2,$ or equivalently

$$\exists c \in k^{ imes}, \;\; \exists H \in \mathcal{V}, \;\; H_0 H_{\infty} = cG + H^2.$$

If \mathcal{E} splits in (X_G, \mathcal{L}_G) , then $\mathrm{Bs}(\mathcal{E})$ is contained in Z(dG), and

$$w(E_t) = \gamma^{-1}(\mathrm{Bs}(\mathcal{E}))$$

holds for every member E_t of \mathcal{E} . In particular, the word $w(E_t)$ is of weight 9.

Let A be a word of \mathcal{C}_G .

- (i) We say that A is a linear word if |A| = 5.
- (ii) Suppose |A| = 8. If A is not a sum of two linear words, then we say that A is a quadratic word.
- (iii) Suppose |A| = 9. If A is neither a sum of three linear words nor a sum of a linear and a quadratic words, then we say that A is a *cubic word*.

By $C \mapsto w(C)$, we obtain the following bijections:

§4. The Case of Artin Invariant 2

We start from a code $\mathcal{C} \subset 2^{\mathcal{P}}$ such that

- ullet $\mathcal{P}=(1,1,\ldots,1)\in\mathcal{C},$ and
- ullet $|w| \in \{0, 5, 8, 9, 12, 13, 16, 21\}$ for any $w \in \mathcal{C}$, and construct the stratum $\mathcal{M}_{[\mathcal{C}]}$.

For simplicity, we assume that C is generated by P and words of weight 5 and 8.

We denote by $\mathcal{G}_{\mathcal{C}}$ the space of all injective maps

$$\gamma: \mathcal{P} \hookrightarrow \mathbb{P}^2$$

with the following properties:

- (i) $\gamma(\mathcal{P}) = Z(dG)$ for some $G \in \mathcal{U}$ (that is, $\gamma \in \mathcal{G}$),
- (ii) for a subset $A \subset \mathcal{P}$ of weight 5, $\gamma(A)$ is collinear if and only if $A \in \mathcal{C}$,
- (iii) for a subset $A \subset \mathcal{P}$ of weight 8, $\gamma(A)$ is on a non-singular conic curve if and only if $A \in \mathcal{C}$ and A is not a sum of words of weight 5 in \mathcal{C} .

$$\mathcal{M} = PGL(3,k) ackslash \mathcal{G}/S_{21} \supset \ \mathcal{M}_{[\mathcal{C}]} = PGL(3,k) ackslash \mathcal{G}_{\mathcal{C}} /\operatorname{Aut}(\mathcal{C}).$$

Suppose that the isomorphism class of (X_G, \mathcal{L}_G) is a point of $\mathcal{M}_{[\mathcal{C}]}$.

Let $\gamma \in \mathcal{G}_{\mathcal{C}}$ be the injective map such that $\gamma(\mathcal{P}) = Z(dG)$.

Then

 $\operatorname{Aut}(X_G,\mathcal{L}_G)=\{\ g\in PGL(3,k)\ \mid\ g(Z(dG))=Z(dG)\ \}$ is the stabilizer subgroup

$$\operatorname{Stab}(\langle \gamma \rangle) \subset \operatorname{Aut}(\mathcal{C})$$

of the projective equivalence class $\langle \gamma \rangle \in PGL(3,k) \backslash \mathcal{G}_{\mathcal{C}}$.

We carry out this construction of $\mathcal{M}_{[\mathcal{C}]}$ for the three isomorphism classes $[\mathcal{C}_A]$, $[\mathcal{C}_B]$, $[\mathcal{C}_C]$ of codes with dimension 9, that is, the Artin invariant 2.

Generators of the code \mathcal{C}_A

Generators of the code C_B

Generators of the code \mathcal{C}_C

 The weight enumerators of these codes are as follows:

$$egin{aligned} \mathcal{C}_A &: \ 1+z^{21}+13(z^5+z^{16})+106(z^8+z^{13})+136(z^9+z^{12}), \ \mathcal{C}_B &: \ 1+z^{21}+9(z^5+z^{16})+102(z^8+z^{13})+144(z^9+z^{12}), \ \mathcal{C}_C &: \ 1+z^{21}+5(z^5+z^{16})+130(z^8+z^{13})+120(z^9+z^{12}). \end{aligned}$$

The numbers of linear, quadratic and cubic words in these codes, and the order of the automorphism group are given in the following table:

	linear	quadratic	cubic	$ \operatorname{Aut}(\mathcal{C}) $	
\mathcal{C}_A	13	28	0	1152	_
\mathcal{C}_B	9	66	0	432	
\mathcal{C}_C	5	120	0	23040 .	

These codes are generated by \mathcal{P} and linear and quadratic words.

For T=A,B and C, the following hold. (ω is the third root of unity, and $\bar{\omega}=\omega+1$.)

The space $PGL(3, k)\backslash \mathcal{G}_T$ has exactly two connected components, both of which are isomorphic to

$$\operatorname{Spec} k[\lambda, 1/(\lambda^4 + \lambda)] = \mathbb{A}^1 \setminus \{0, 1, \omega, \bar{\omega}\}.$$

Let $N_T \subset \operatorname{Aut}(\mathcal{C}_T)$ be the subgroup of index 2 that preserves the connected components, and let Γ_T be the image of N_T in

$$\operatorname{Aut}(\mathbb{A}^1\setminus\{0,1,\omega,\bar{\omega}\}).$$

The moduli curve

$$\mathcal{M}_T = (\mathbb{A}^1 \setminus \{0,1,\omega,ar{\omega}\})/\Gamma_T$$

is isomorphic to a punctured affine line

$$\operatorname{Spec} k[J_T,1/J_T]=\mathbb{A}^1\setminus\{0\}.$$

The punctured origin $J_T = 0$ corresponds to the Dolgachev-Kondo point.

The action of Γ_T on $\mathbb{A}^1 \setminus \{0, 1, \omega, \bar{\omega}\}$ is free. Hence the order of $\operatorname{Stab}(\langle \gamma \rangle) \subset \operatorname{Aut}(\mathcal{C}_T)$ is constant on $PGL(3, k) \setminus \mathcal{G}_T$. We have an exact sequence

$$1 \rightarrow \operatorname{Aut}(X,\mathcal{L}) \rightarrow N_T \rightarrow \Gamma_T \rightarrow 1.$$

The case A:

$$\Gamma_A = \left\{\lambda, \lambda+1, rac{1}{\lambda}, rac{1}{\lambda+1}, rac{\lambda}{\lambda+1}, rac{\lambda+1}{\lambda}
ight\} \cong S_3, \ J_A = rac{(\lambda^2+\lambda+1)^3}{\lambda^2\,(\lambda+1)^2},$$

$$egin{aligned} GA[\lambda] := X_0 X_1 X_2 \left(X_0 + X_1 + X_2
ight) \cdot \ \left(X_0{}^2 + X_1{}^2 + \left(\lambda^2 + \lambda
ight) X_2{}^2 + X_0 X_1 + X_1 X_2 + X_2 X_0
ight). \end{aligned}$$

The family

$$W^2 = GA[\lambda]$$

is the universal family of polarized supersingular K3 surfaces over the λ -line.

For $\alpha \in k \setminus \{0, 1, \omega, \bar{\omega}\}$, $\operatorname{Aut}(X_{GA[\alpha]}, \mathcal{L}_{GA[\alpha]})$ is equal to the group

$$\left\{ egin{array}{c|c} A & a \ \hline 0 & 0 & 1 \end{array}
ight] \in PGL(3,k) & A \in GL(2,\mathbb{F}_2), \ a,b \in \{0,1,lpha,lpha+1\} \end{array}
ight\}.$$

 Γ_B is isomorphic to the alternating group A_4 . $J_B = (\lambda + \omega)^{12}/(\lambda^3(\lambda + 1)^3(\lambda + \bar{\omega})^3)$.

$$egin{aligned} GB[\lambda] &= X_0 X_1 X_2 \left(X_0 + X_1 + X_2
ight) \cdot \ & \left(\left(ar{\omega} \lambda + \omega
ight) X_0^{\ 2} + ar{\omega} \left(X_1^{\ 2} + \omega \lambda \left(X_2^{\ 2}$$

 Γ_C is the group of affine transformations of an affine line over \mathbb{F}_4 .

$$J_C = (\lambda^4 + \lambda)^3$$
.

$$GC[\lambda] = X_0 X_1 X_2 \left(X_0^3 + X_1^3 + X_2^3 \right) + (\lambda^4 + \lambda) X_0^3 X_1^3.$$

The orders of the groups above are given as follows.

§5. Cremona transformations

Let $\Sigma = \{p_1, \ldots, p_6\} \subset Z(dG)$ be a subset with $|\Sigma| = 6$ satisfying the following:

- \bullet no three points of Σ are collinear, and
- ullet for each i, the non-singular conic curve Q_i containing $\Sigma\setminus\{p_i\}$ satisfies $Q_i\cap Z(dG)=\Sigma\setminus\{p_i\}.$

Let $\beta: S \to \mathbb{P}^2$ be the blowing up at the points in Σ , and

let $\beta': S \to \mathbb{P}^2$ be the blowing down of the strict transforms Q_i' of the conic curves Q_i .

The birational map

$$c:=eta'\circeta^{-1}\ :\ \mathbb{P}^2\cdots o\mathbb{P}^2$$

is called the Cremona transformation with the center Σ .

There exists $G' \in \mathcal{U}$ such that

$$c(Z(dG)\setminus\Sigma)\cup\{eta'(Q_i')\mid i=1,\ldots,6\}=Z(dG').$$

Obviously, X_G and $X_{G'}$ are isomorphic.

But (X_G, \mathcal{L}_G) and $(X_{G'}, \mathcal{L}_{G'})$ may fail to be isomorphic.

A curve $D \subset \mathcal{M}_T \times \mathcal{M}_{T'}$ is called an isomorphism correspondence if, for any pair

$$([X,\mathcal{L}],[X',\mathcal{L}']) \in D,$$

the K3 surfaces X and X' are isomorphic as non-polarized surfaces.

Using Cremona transformations, we obtain an example of non-trivial isomorphism correspondences.

Let (X, \mathcal{L}) and (X', \mathcal{L}') be polarized supersingular K3 surfaces of type (\sharp) with Artin invariant 2, and let J_T and $J_{T'}$ be their J-invariants.

If
$$T = T' = A$$
 and

$$1 + J_A \, J_A^{\prime} + J_A{}^2 J_A^{\prime}{}^2 + J_A{}^2 J_A^{\prime}{}^3 + J_A{}^3 J_A^{\prime}{}^2 = 0,$$

then X and X' are isomorphic.

If T = A and T' = B and

$$J_B + J_A J_B + J_A J_B^2 + J_A^2 J_B + J_A^4 = 0,$$

then X and X' are isomorphic.

The isomorphism correspondence

$$1 + J_A J_A' + J_A{}^2 {J_A'}^2 + J_A{}^2 {J_A'}^3 + {J_A}^3 {J_A'}^2 = 0$$

intersects with the diagonal $\Delta_A \subset \mathcal{M}_A \times \mathcal{M}_A$ at two points $(J_A, J_A') = (\omega, \omega)$ and $(\bar{\omega}, \bar{\omega})$.

At these points, the automorphism group Aut(X) of the supersingular K3 surface jumps.

Do all isomorphism correspondences come from Cremona transformations?